

ISOLATION AND CHARACTERIZATION OF NOVEL BACTERIOPHAGES AGAINST MULTIDRUG RESISTANT *Enterobacter cloacae* **AS ALTERNATIVES TO ANTIBIOTICS**

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DECLARATION

This thesis is my original work and has not been presented for a degree in any university.

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DEDICATION

To my wife Mary Wairimu for the love, encouragement, and dedication to family and for taking care of our kids while I was away.

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ABSTRACT

Enterobacter cloacae is a significant nosocomial pathogen, causing bacteremia and infections of the lower respiratory tract, urinary tract, and intra-abdominal cavity. Acquisition of antibiotic resistant genes due to increased pressure on antibiotics use has resulted in emergence of multidrug-resistant (MDR) *Enterobacter* species implicated in hospital acquired infections. Development of antibiotic regimens against MDRs is not at par with bacteria rates of resistance necessating the need for adoption of alternative strategies among them the use of bacteriophages (phages). The general objective of this study was to identify and characterize bacteriophages that can lyse gram-negative MDR *E. cloacae* bacteria with potential clinical applications. Three environmental water samples from each site were obtained from Kenyatta National Hospital (KNH) sewer, Chiromo River, Mathare River, Kibera slums and Zimmerman and fresh water (Lake Victoria). Host bacteria were obtained from the KEMRI-CMR repository. Spot test and plaque assay were used to screen and quantify the isolated bacteriophages. Isolated bacteriophages were tested for their stability at different temperatures (4, 25, 37, 60 and 90 °C) and pH (2.0, 4.5, 5.5, 7.5, 9.0, 11.5 and 13.0). Host range determination using 28 isolates of *E. cloacae* bacteria and one *Staphylococcus aureus* bacteria as a negative control was done. Whole genome sequencing was done using the Nanopore platform and results analysed using various bioinformatics tools like PhiSiGns programmes, GeneMark, REsFINDEr, among others. Nineteen **(**19) bacteriophages were isolated with all 19 phages lysing 12/28 (42.9%) *E. cloacae bacteria* isolates. The phages were stable at 4, 25 and 37 °C and 4.5, 5.5, 7.5, 9.0, and 11.5 pH. The selected 5 phages had dsDNA genomes with no genes associated with antibiotic resistance or toxicity. Three phages belonged to the family Autographiviridea/Studiervirinae while two could not be assigned. The study identified potentially suitable phage candidates for *Enterobacter cloaca*e therapy due to their wide host range against endemic clinical isolates and their lack of genes associated with resistance and toxicity.

CHAPTER ONE

1.0 INTRODUCTION

1.1. Background information

Nosocomial infections are hospital-acquired infections (HAIs) caused by various pathogens among them; bacteria, fungi, viruses, parasites, and other organisms. The predominant HAI are caused by *Enterococcus faecium*, *Staphylococcus aureus*, *Klebsiella pneumoniae*, *Acinetobacter baumannii*, *Pseudomonas aeruginosa* and *Enterobacter* spp; acronymed ESKAPE (Levy & Marshall, 2004). Most of these infections have become resistant to antibiotics which have been conventional medications of choice. Infections by the two bacterial species have become difficult to control or treat due to the transfer and acquisition of antibiotic resistance. Continued application of antibiotics in the management of these diseases has brought about selection of resistance genes in the bacterial populations (Levy & Marshall, 2004). The development of new antibiotics is not moving as fast as these pathogens are gaining resistance hence causing a challenge to health systems.

An alternative to the use of antibiotics in the management of bacterial infections is the use of bacteriophages or simply "phage therapy. Bacteriophages are viruses discovered in the early-20th century (Clokie et al 2011). Their dominant property was their ability to "eat" bacterial cultures by specifically reducing their turbidity and consequently named phage. Phages contain nucleic acids, which can be either DNA or RNA covered in a protein coat whose main function is to protect the phage genome as it moves from one infected cell to another (Levy & Marshall, 2004). Phages replicate via two mechanisms, the lytic phase, and lysogenic phase. In the lytic phase, phages infect the bacterial cell, replicate, and lyse the cell, with phage progeny finding and infecting new host bacterial cells. The lytic phages are suitable for phage therapy, an example being T4 bacteriophage that infects *E. coli* bacteria found in the human gut. The lysogenic phages, also called temperate phages, integrate their genome with that of the host and replicate alongside the host genome. They remain latent until host circumstances decline, perhaps owing to nutritional depletion, at

which point the endogenous phages become active resulting in cell lysis (Levy $\&$ Marshall, 2004).

Western countries successfully used the phage therapy however, they halted its use due to emergence of antibiotics (Principi, Silvestri, & Esposito, 2019a). With the growing threat of illnesses associated with multidrug-resistant bacteria and limited availability of newly launched antibiotics for the future, phages, especially lytic bacteriophages, are being investigated as alternative or complimentary therapies.

1.2. Research Problem Statement

The growing challenge of MDR *E. cloacae* in nosocomial infections puts a strain on healthcare systems and the global economy. Some of the repercussions include high mortality and morbidity rates, increased treatment costs, diagnostic ambiguity, and a loss of trust in traditional medicine. According to Gillian (2018), the prevalence of *E. cloacae* in children is 1.6%, with a 0.1% estimated fatality rate in Kenya. With big pharmaceutical companies failing to invest in the development of new antibiotics, there is a need for novel and low-cost techniques of treating MDR bacteria with minimum injury to host cells and interference with natural flora.

Despite the use of phages commencing decades ago, their use in treating MDR bacterial and other nosocomial infections has remained in nascent stages. One of the challenges is paucity of reliable experiments and strict regulations on use of phages to address human health. Phages have been effectively used to manage *E. coli* and other infections (Anand et al., 2020). With increased knowledge in biotechnology, phages use as an alternative to antibiotics is gaining traction. In Kenya, there is no study or clinical experiments that have been documented regarding phage use as an option to antibiotics particularly in managing the infections caused by MDR *E. cloacae.* This study, seeks to find bacteriophages that can be used as an alternative in the management of MDR *E. cloacae* since it is among the leading causative agent in HAIs.

1.3. Rationale/Justification

MDR *E. cloacae* are among important opportunistic bacteria. They have been found to not only contain antibiotic resistant genes, but also possess enzymes such as carbapenemases and Extended-Spectrum-Beta-Lactamases (ESBL) which serve to neutralize the antibiotic effect of a wide of range of antibiotics (Tamma et alro 2019). The prevalence of MDR *E. cloacae* has increased globally because of the increased use of extended-spectrum carbapenems and cephalosporin antibiotics in therapy. Antibiotics administered to patients with infections from MDR bacteria is likely to be unsuccessful(Haney & Hancock, n.d.). The need to have alternative approaches in treatment and management of these infections is evident and phage therapy is a potential solution (Royer et al 2021).

Bacteriophages have many advantages over antibiotics; among them is their abundance in nature in many habitats such as sea water, soil, sewage and fresh water. Bacteriophages target specific bacterial hosts, lyse them, and produce progeny that go on to infect other bacterial cells. Their lytic activity enables them to rapidly kill their bacterial host. Phages are less toxic than antibiotics due to the specificity of their hosts and are less likely to affect human cells or alter the normal bacterial flora of the host. Phages are also known to penetrate the polysaccharide matrix of the bacterial cell membrane which is impenetrable to many antibiotics (Lin et al 2017).

1.4. Research Questions

- 1. Are there multidrug resistant *E. cloacae* bacteria clinically isolated?
- 2. What are the therapeutic characteristics of the isolated bacteriophages have against MDR *E. cloacae*?
- 3. Are the isolated bacteriophages isolated against MDR *E. cloacea* genetically novel?

1.5 Null Hypothesis

There are no lytic bacteriophages in the environment that can lyse MDR *E. cloacae.*

1.6 Alternative Hypothesis

There are lytic bacteriophages in the environment that can lyse MDR *E. cloacae*

1.7 Objectives

1.7.1 General Objectives

To isolate and characterize bacteriophages against MDR *E. cloacae* bacteria for potential clinical applications.

1.7.2 Specific objectives

- 1. To screen *Enterobacter cloacae* bacteria and select representative strains for bacteriophage isolation
- 2. To isolate and identify stable lytic bacteriophages from different sources with specific activity against MDR *E. cloacae* bacteria.
- 3. To genetically characterize the identified phages and select novel phages with broad activity against *E. cloacae* strains.

CHAPTER TWO

2.0 LITERATURE REVIEW

2.1 History of Antibiotic Resistance

Since the introduction of sulphonamides as the first efficient antibacterial medicines in 1935(Jeśman, Młudzik, & Cybulska, 2011), the establishment of resistance mechanisms has prohibited their use as therapeutic agents. Resistance to sulfonamides was first identified towards the end of the 1930s and early 1940s (Sköld, 2000), and the same mechanisms are still active over 70 years later(Sköld, 2000). Alexander Fleming developed penicillin between 1928 and 1940. Penicillinase was discovered by two members of the Penicillin research team some years before penicillin was approved as a medicinal drug Bhattacharje (2016). Resistant strains capable of inactivating penicillin grew common as the medicine became more extensively used, necessitating synthetic efforts to chemically alter penicillin to avoid cleavage by penicillinases. Amazingly, bacterial penicillinases were found long before the use of the antibiotic, according to new results that indicate *r-genes* in bacteria as components of natural microbial populations (Demain, 2014).

Streptomycin was first used to treat tuberculosis (TB; "The Great White Plague") in 1944. During patient therapy, mutant strains of *M. tuberculosis* were found to be resistant to the antibiotic therapeutic dose in use. A similar chain of events has occurred with other antibiotics that have been identified and put into clinical practice (Vilchèze $\&$ Jacobs, 2015). Most bacterial infections involved with human illnesses have become multidrugresistant (MDR) strains as a result of antibiotic usage (Turner *et al*., 2017). MDR *M. tuberculosis,* for example, is an important disease in both developing, middle income and developed countries, and has evolved into a twentieth-century form of an old pathogen. Nosocomial (hospital-associated) infections with *Acinetobacter baumannii, Burkholderia cepacia, Campylobacter jejuni, Citrobacter freundii, Clostridium difficile, Enterobacter spp., Enterococcus faecium, Enterococcus faecalis, Escherichia coli, Haemophilus influenzae, Klebsiella pneumoniae, Proteus mirabilis, Pseudomonas aeruginosa,*

Salmonella spp*., Serratia* spp*., Staphylococcus aureus, Staphylococcus epidermidis, Stenotrophomonas maltophilia, and Streptococcus pneumonia* have become a common phenomenon*.* (Davies, 2010 MMBR,)

Superbugs are microbes that cause increased morbidity and mortality levels because of the many genes and mutations conferring high levels of resistance to antibacterial drugs that are primarily recommended for their intervention; treatment stategies for these superbugs are limited, and hospitalisations have become longer and much more expensive. Super-resistant bacteria have improved virulence and transmissibility in some circumstances. In reality, antibiotic resistance might be regarded as a virulence factor (Tanwar *et al*., 2014). Antibiotic use in the treatment of the most common Gram-negative bacteria, like *E. coli, S. enterica,* and *K. pneumoniae*, which are responsible for a range of human and animal illnesses, has been linked to the rise in antibioterial resistance during the previous half-century. This has been observed in the classes of β-lactam and βlactamases of antibiotics, which are related-inactivating-enzyme antibiotics. Several other groups and classes of resistance-related β-lactamases have been found, totalling up to 1,000 (Davies, 2010).

P. aeruginosa has progressed from a minor infection of burn wounds to being a serious nosocomial danger in hospital-acquired infections. Coincidentally, antibiotic resistance mechanisms for *P. aeruginosa* have emerged with the advent of novel antiobiotic therapies that include the highly therapeutic interventions (such as β-lactams and aminoglycosides) and thus becoming a serious worry for patients with cystic fibrosis due to its ability to persist as well as avoid human immune defense mechanisms. Antibiotic resistance has been linked to lengthy periods of antibiotic use in cystic fibrosis patients (Laxminarayan *et al*., 2013; Horrevorts, 1990).

A. baumannii on the other hand is a relatively modern Gram-negative microbe that is mostly nosocomial. Similar to *Pseudomonas,* this pathogen possesses *r-genes* and pathogenicity determinants, resulting in increased death and morbidity rates(Peleg, 2008). *Acinetobacter*'s infectious qualities are assumed to be derived from its ability to survive

and biodegrade within the environment; also, majority of the variants are innately proficient for DNA absorption and therefore have increased rates of adaptive evolution. Additionally, *A. baumannii* is quickly changing, with current sequenced genomes revealing about 28 genomic islands that contain determinants of antibiotic resistance, with over 50% of the inserts encoding for functions of virulence type IV secretion systems (Barbe, 2004).

Although *E. cloacae* complex species are widespread all-natural habitats, they are also known disease infection agents, with *E. cloacae* and *E. hormaechei* being common and usually identified in patient clinical samples. It si therefore by no surprise that, *E. cloacae* has not only been identified as one of the most prevalent *Enterobacter* sp. responsible for nosocomial diseases over the last decade, but one of the microbes whose profile regarding its antibiotic resistance has been widely published. Despite its relevance in nosocomial infections, the pathogenicity processes as well as determinants leading to sickness related with the ECC are not well characterized; partly because of lack of understanding and its dispersion. Its pathogenicity is determined by its capacity to build biofilms and produce several cytotoxins among them enterotoxins, hemolysins, as well pore-forming toxins (Mezzatesta et al 2012). Although clonal outbreaks involving variants of the ECC were uncommon, numerous variants and races recently demonstrated relationships with patients clinical materials, notably urine and sputum (Izdebski et al., 2015)(Izdebski et al., 2014). Because of the widespreading of ESBL and carbapenemases genes in *E. cloacae* this species has lately evolved to be the third-most *Enterobacteriaceae* spp implicated in HAIs after *E. coli* and *K. pneumonia* (Potron et al 2013).

2.2 Future Threat of Antimicrobial Resistance

Several factors contribute to the rising public health issue of antimicrobial resistance (AMR). Appropriate and improper use of anti-infective medications for human and animal health, food production, as well as insufficient efforts to limit infection transmission have been most sited. Recognizing the public health threat caused by AMR, various states, international agencies, and other organizations throughout the world have taken steps to combat it through initiatives implemented in animal and human sectors (Dramowski et al., 2017).

AMR poses a huge challenge to the global society in terms of depth, breadth, and complexity (Marshall, 2018). Resistance to antibiotics jeopardizes strides in health care, production of food, as well as life expectancy. Combating these dangers entails preventing infections in the first place, lowering resistance development through better antibiotic use, and slowing resistance dissemination once it has occurred (CDC, 2019).

AMR reports are frequently based on laboratory data on microorganisms acquired from human patients. Despite informed decisions on individual patient treatment and as evidence for policies at the local, national, and international levels, cases of AMR have become pervasive in the public health, raising concerns about whether authorities will be able to manage these threats in the event of pandemics (WHO, 2012).

Public health organizations are concerned about the challenges that AMR would present, as well as the cost of treating a range of common infections, which would result in delays in adequate treatment or, in the worst-case scenario, an inability to deliver appropriate treatments. Many recent medical developments, such as cancer treatments and organ transplantation, are contingent on the availability of anti-infective medications (Pharell *et al*., 2012). Resistance results in increased morbidity, extended sickness, a higher risk of complications, and higher fatality rates. This results to an economic impact that includes lost productivity (loss of income, decreased worker productivity, time spent with family) and increased diagnostic and treatment costs (consultation, infrastructure, screening, equipment costs, medications) ("Global Action Plan on Antimicrobial Resistance," 2015) (WHO, 2015). The health and economic repercussions of AMR are significant and costly, but they are difficult to measure precisely because available data in many countries is insufficient. There is also a major human burden connected with it (pain, changes in everyday activities, and psychological costs) (Holmes *et al*., 2016).

2.3 Description of *Enterobacter cloacae***; Occurrence, Morbidity and Mortality.**

In recent years, *E. cloacae* has been the most often isolated species of *Enterobacter*, a genus within the *Enterobacteriacea* family that has caused infections in hospitalized and immunocompromised individuals. *E. cloacae* bloodstream infection (EcBSI) is a new nosocomial pathogen with rising antibiotic resistance (Huang, 2013). HAI are major sources of morbidity and mortality across the world (Bao *et al*., 2012). The typical members of the gastrointestinal tract flora, *Enterobacte*r species, are major pathogens for a range of illnesses, including wound infections, urinary tract infections, pneumonia and bacteremia, particularly in HAI. *Enterobacter* species seldom cause illness in healthy children; nevertheless, in patients with underlying disorders, particularly preterm babies, they often cause bacteremia (Unlemahn *et al*., 2019).

Enterobacter cloacae may be found both on land and in water environments. This bacterium can also be found in human and animal digestive systems as commensal microflora. Additionally, *E. cloacae* can be found colonising both insects and plants as a pathogenic agent. Genetically *E. cloacae's* is diverse as reflected in the varied environments it inhabits. (Mezzatesta et al., 2012). Epidemiological data from MLST and PFGE techniques has shown clonal complexes of *E. cloacae* responsible for the widespread pandemics around the globe. Primarily, *E. cloacae* is a common nosocomial pathogen, causing bacteremia, endocarditis, inflammatory arthritis, osteomyelitis, skin/soft tissue infections, lower respiratory tract infections, urinary tract infections, and intra-abdominal infections (Fata et al., 1996). It has also been shown that *E. cloacae* may colonize a wide range of medical, intravenous, as well as other healthcare equipment (Dugleux et al., 1991). Colonization of surgical instruments as well as operational cleaning solutions have all been linked to nosocomial infections (Wang et al., 2000). *E. cloacae* has been routinely documented as a hospital acquired pathogen in newborn facilities for over a decade, with various outbreaks of infection (Fernández-Baca et al., 2001) (Pestourie et al., 2014).

Gastrointestinal disorders, life-threatening infections, malignancies, preterm, central venous catheter placement, ventriculostomy, placement of a ventriculoperitoneal shunt catheter, extended antibiotic therapy, parenteral nutrition, and immunosuppressive medication are all risk factors for *Enterobacter* bacteremia. *E. cloacae* accounted for 3.9 percent of all hospital acquired bloodstream infections, according to a large-scale survey done in the USA. At the same time, there was a considerable increase in *E. cloacae* antibiotic resistance rates (Annavajhala *et al*, 2019; Izdebski *et al*., 2015)

According to a research done by (Chen & Huang, 2013), *E. cloacae* was the most prevalent species in the United States, accounting for 78 occurrences (81.3%). The isolation rate of *E. cloacae* has grown in a Taiwanese hospital since 1995, according to a study conducted by (Xia et al., 2016), and this increased prevalence was connected to increased mortality rates from *E. cloacae* illnesses.

In the United Kingdom on the other hand, epidemiological research on E. cloacea indicated a rising prevalence coupled with high fatality rates of 24/79, which transilates to 34.3% (Eichenberger & Thaden, n.d.) (Josh, 2012). WHO noted in its first global antimicrobial resistance monitoring report in 2014 that resistance to antimicrobial drugs was rising in all six WHO regions in Africa. In Sub-Saharan Africa, *Enterobacter* species account for 20% of all-age mortality and 33% of morbidity. In South Africa, a significant rise in ESBL synthesis as well as carbapenemase variantsin *K. pneumoniae* and *E. cloacae* is noted (Annavajhala et al., 2019a).

During a 4-year period in Senegal, the detection rate of carbapenemases among *E. cloacae* blood isolates from public laboratories was 1.9 percent, and the death rate was estimated to be 0.1 percent (Dia *et al*., 2016). Multidrug-resistant bacteria were to blame, including *E. cloacae*, which secretes broad-spectrum betalactamase, MRSA, and *Pseudomonas aeruginosa.* Establishment of an infection control program in a teaching hospital was successfμl in lowering the rate of *E. cloacae* from 5.8 to in 2003 to 2.8% in 2006 in Nigeria (Brady *et al.,* 2013).

Few investigations on the morbidity and mortality of *E. cloacae* have been undertaken in Kenya. However, Saleem *et al*. (2010) found elevated numbers of *E. cloacae* infections. This study discovered that coagulase-negative *Staphylococci* (CoNS) were isolated as the prevalent organism (30.1 percent), followed closely by *E. cloacae* (21%), *Citrobacter* spp (14%), *Klebsiella* spp (11%), *Enterococcus* spp (9%), *Escherichia coli* (7%), *S. aureus* (4%), and *Proteus* spp (4%). (1 %). According to Gillian (2018), the prevalence of *E. cloacae* in children is 1.6 percent, with a 0.1 % estimated fatality rate.

2.4 Epidemiology of *E. cloacae*

Increased morbidity and an estimated 40% mortality have resulted from multidrug resistance among clinically relevant gram-negative bacteria (GNB) such as *Escherichia coli, Klebsiella pneumoniae, Enterobacter spp., Pseudomonas aeruginosa, and Acinetobacter baumannii* (WHO, 2014). Last-resort medications like tigecycline in use for the control and treatment of infections arising from these infections have proved unfavourable and costly. One significant β-lactam medication class that is used to treat serious bacterial infections that are resistant to many drugs is carbapenems (Founou, Founou, & Essack, 2017). Consequently, it has been determined that the worldwide rise in carbapenem resistance (CR) poses a serious risk to public health(WHO, 2014).

Chromosomally-encoded or plasmid-encoded carbapenemases, which are classified into three classes, are the main mediators of CR: Class A, which includes, *K. pneumoniae* carbapenemases (KPC); Class B, which includes, New Delhi Metallo-β-lactamase (NDM), the Verona integrin-encoded Metallo-β-lactamase (VIM), and Imipenemase (IMP); and Class D, which includes OXA-48 and -181(Marti´nez et al., 1999). The constitutive over-production of AmpC and alterations in permeability brought on by the loss or down-regulation of porins are secondary mechanisms for CR.(Bauernfeind, 1986). The frequency of carbapenem resistance in GNB varies from less than 1% to 60%, which is a developing concern in Africa(Annavajhala, Gomez-Simmonds, & Uhlemann, 2019b). According to recent research conducted in Tanzania and Uganda, the prevalence may reach 22.4–35%, with *K. pneumonia* and *P. aeruginosa* being the main carriers of the CR genes blaVIM, blaOXA-48, blaIMP, blaKPC, and blaNDM-1 (Mushi, Mshana, Imirzalioglu, & Bwanga, 2014)(Okoche, Asiimwe, Katabazi, Kato, & Najjuka, 2015). Only the carbapenemase genes blaNDM-1, blaOXA-23, blaSPM, and blaVIM-2 have been found in Kenya, and they are found in the bacteria *K. pneumoniae, P. aeruginosa, and A. baumannii*. Nairobi, Kiambu, Kilifi Kisumu, Kericho and Kisii are the six Kenyan counties where these are from hospitals. Kenya's high concentrations of ESBL-producing Enterobacteriaceae have led to an increase in the usage of carbapenem, which has coincided with a rise in CR, hence close monitoring(Musila Id et al., 2021).

2.5 Challenges Posed by Multidrug-Resistant *E. cloacae***.**

Both acquired and inherent antibiotic resistance processes have decreased the number of viable therapies availabel for *E. cloacae* infections. *E. cloacae* is innately immune to β lactam antibiotics and $1st$ - and $2nd$ generation cephalosporins because of the low-level expression of chromosomal ampC genes that encode for an inducible AmpC-type Bush group 1 (class C) cephalosporinase. AmpD mutations that cause constitutive hyperproduction (derepression) of AmpC can result in resistance against 3rd-generation cephalosporins and aztreonam (Cheng *et al*, 2017).

The rising incidence of *E. cloacae* is one of the most significant issues in MDR. Most penincillins are resistant, with their mechanisms of resistance conferred by β - extendedspectrum -lactamase (β - ESBL) genes (Cheng *et al*., 2017). Discovery of plasmid encoding genes in *E. cloacae* was made in 1989. Since then, the incidence of ESBLencoding *E. cloacae* has grown, notably in nosocomial settings and among patients who have previously received antibiotics. Resistance to β-lactam is now often mediated by both ESBL and AmpC, resulting in near-pan-resistance(Chavda *et al*., 2016).

Another challenge of MDR *E. cloacae* is the spread of carbapenem-resistant *E. cloacae* complex (CREC) aided by genetic variables. Carbapenem resistance is defined by one or more pathways, including carbapenemase synthesis. Carbapenemases, which can hydrolyze carbapenem drugs and other -lactam antibiotics, have the highest antibiotic resistance (Liu *et al*., 2019). *K. pneumoniae* carbapenemase (KPC) is one of the most prevalent causes of resistance against carbapenem in *K. pneumoniae*, and can spread widely due to its presence on numerous plasmids (Adler *et al*, n.d.). KPC enzymes are a large family of class A serine carbapenemases generated mostly by *K. pneumoniae*. In *Enterobacteriaceae*, β-lactamase resistance is most often linked with the overproduction of enzymes from inducible or de-repressed chromosomal genes (though AmpC can also be plasmid-based), whereas ESBLs are usually invariably carried by plasmids. Carbapenemase genes may be carried on either chromosomes or plasmids.

Given that these genes can be found on plasmids, their horizontal transfer between species as is seen in *Enterobacteriaceae* where *K. pneumonia* and *E. clocae* fall is feasible. In *Enterobacteriaceae*, KPC is the most common cause of carbapenem resistance(Codjoe & Donkor, n.d.). Other genetic factors associated with MDR include blaKPC genes that may contribute to CREC's rapid development. ST171 and ST78 include many lineage-specific genomic islands that code for systems against toxin-antitoxin as well as cell stress response systems, respectively. Genes encoding for toxin-antitoxin systems as well as those encosing for heavy metal resistance have been found to exixt on MDR plasmid in CREC isolates. These characteristics may also contribute to the organism's success, particularly in nosocomial situations (Chen *et al*., 2014).

According to current research, the formation and dissemination of CREC is attributable to the vast variety of clonal lineages and carbapenemases. A recent research that drew on two worldwide monitoring systems revealed the amazing spread and diversity of carbapenemase genes in the *E. cloacae* complex (ECC). CREC can also exist as lowvirulence microorganism with particular mutations enabling it to flourish in nosocomial environments (Gomez-Simmonds *et al*., 2018). Several factors, among them, cross-class antibiotic resistance, horizontally acquired carbapenem- and fluoroquinolone-resistance genes, suggest that pressure on antibiotic use in hospitals, and not merely the increase in virulence, played a bigger role in CREC ST171 spread in the USA (Cheng *et al*., 2017).

2.6 Global Distribution of MDR *E. cloacae***.**

Figure 2.1: A World Map Showing the Global Distribution of Multidrug-Resistant (MDR) *E. cloacae* **(Kempf et al., 2015).**

In the US and Canada, *E. cloacae* that is primarily blaKPC-positive has been discovered, with just a few instances of organisms that have genes encoding for IMI- and NMC-A. In Kenya, NDM-carrying isolates have been discovered ("Detection of NDM-1-Producing *Klebsiella pneumoniae* in Kenya," n.d.)(Musila et al., 2021). Positive blaKPC isolates have also been found in South America and among European countries. blaNDM and blaNDM-1 alleles are widespread in India and the surrounding countires and across Eastern China hospitals respectively.

IMP-alleles exixts around several S.E Asian nationsa while VIM gene variations, on the other hand, are more common in Europe, with only a few examples found in S. America and S.E Asia. Additionally, carbapenemases OXA-48-like genes are believed to have originated in Turkey then to the Arab subcontinent, Europe, and eventually to the Northern Africa region (Kempf *et al*., 2015).

2.7 Life Cycle of Phages

The life cycle of a phage begins with infection, where a bacteriophage attaches itself onto a bacterial cell surface via the tail fibers and injects its genetic material into the cell. Thereafter, a phage typically goes through either of the two life cycles: lytic (virulent) or lysogenic (temperate) (Thung *et al*., 2018). Lytic phages (Fig. 2.3) use the cell's machinery to generate phage components. The phage in turn exploits its host machinery, directing it to produce proteins needed in the construction new phage particles. The new viral heads and their tail sheaths are built separately, followed by insertion of the new synthesized genetic material into the viron heads and eventual assembly of new daughter viron particles being formed. (Μl Haq *et al*., 2012). During this stage, phage enzymes weaken host cells progressively, leading them to burst and release between 100-200 new daughter progeny bacteriophages within the environment that go on to infect other host cells.

Figure 2.2: Lytic Cycle of a bacteriophage (Steward, 2018).

The lysogenic cycle (Fig. 2.4) does not destroy the host cell, instead exploiting it as a haven in which it can dwell dormantly. After injection, the bacteriophage intergrates its

DNA into the host cell genome via the help of the intergrases encoded by the phage. This changes the phage into a prophage. It follows then that the intergrated genome is passively replicated alongside its host. Owing to the fact that phage genomes are small, the intergrative event has ninimal effect on the overall performance of the host cell. (Μl Haq *et al*., 2012). However, lysogeny state is temporal and under certain conditions, prophages can enter the lytic phase.

Figure 2.3: Lysogenic Cycle of a bacteriophage (Steward, 2018).

2.7.1 Types of Phages

Although various phages have been identified, knowledge on their nature may be insufficient since the discovery and usage of phages is still in its early stages. *Ackermannviridae, Myoviridae, Siphoviridae, and Podoviridae* are among bacterial phage families identified (Shang *et al*., 2021).

Phages in the *Ackermannviridae* family are non-enveloped and posses head-tail configurations. Their head is icosahedral with a diameter measuring around 93nm. They

feature a base plate and a neck with no collar. Their tail is contractile and is roughly 140nm in diameter and 20nm in length. Their fibers are linked onto the tail and measure around 38nm long. *Ackermannviridae* phages replicate virally in their cytoplasm (Steward, 2018; Loh *et al*., 2020).

Myoviridae phages feature heads that are elongated (about 110nm in length), collars with long tails (about 114nm), spiked base plates, and six long fiber tail. Their genome is linear, around 33–244 kb long and encodes 40–415 proteins. They employ cytoplasmic replication, they are lytic, and do not contain genes needed for transit to lysogeny phase, making them among the finest for phage treatment (Dion, 2020).

Bacteriophages of the *Siphoviridae* family attack both archaea and bacteria. Most of the bacteriophages in this family are categorized as unclassified and with no genus, yet, most of these phages have been identified as targeting *Lactobacillus, Mycobacterium, Streptococcus*, and other bacteria. Currently this family has about 313 species, divided into 47 genera. They also lack an icosahedral enclosed head. Distinguishing features of this group of bacteriophages is their non-contractile cross-banded tails. They have doublestranded or linear genomes (about 50kb in length), with 70 genes. Bacteriophages of *Siphoviridae* family utilise cytoplasmic viral replication, and have a lytic lifecycle suitable for phage treatment (Addy *et al*, 2019; Turner *et al*., 2017).

The *Podovirida*e (Addy *et al*., 2019) family has 50 species divided into 20 genera. The distinguishing feature of bacteriophages in this family is their extremely small but noncontractile tail, which has six short sub-terminal fibers. They have a thick tail that is constructed to resemble stack-like disks, reaching about 17nm in length. They have an icosahedral head and are non-enveloped. They have a diameter of 60nm and 72 capsomers. Bacteriophages in this family have a linear dsDNA genome of about 40-43kb in length and encoding 55 genes. Their genome contains the genetic information for nine structural proteins. They adhere to the bi-directional replication of DNA process. Cytoplasmic viral replication is used by these bacteriophages (Turner *et al*., 2016).

2.8 Phages as alternatives to antimicrobial resistance

Phage treatment, which employs bacteriophages in treatment of bacterial illnesses, can be traced back nearly a century. The widespread fall in antibiotic efficacy has sparked considerable interest in reconsidering this method. Phage treatment is traditionally based upon their natural existence as phages infect and lyse their host at their point of infection. Advances in technology, specifically biotechnological techniques, has served to expand the repertory of phages as therapeutic agents with techniques such as bio-engineering of phages and purification of phage lytic proteins being incorporated in their isolation (Kakasis & Panitsa, 2019) (Principi *et al*, 2019b).

Recent studies particularly on the use of whole phages or their extracted lytic proteins, especially those targeting MDR bacterial illnesses, shows that bacteriophage therapy can be utilized instead of or in addition with antibiotic regimens. Antibacterial treatment, whether phage- or antibiotic-based, has benefits and drawbacks. As a result, several factors must be considered while creating novel therapeutic techniques for use in preventing and/or treating infections caused by MDR bacteria. Despite the fact that little is known about the factors that come into play during interactions between bacteriophage, host bacteria and human host, the time to seriously investigate phage therapy is approaching quickly (Ghosh *et al*, 2019).

The UN General Assembly met in 2016 to deliberate on antibiotic resistance, which was rated as "…biggest and most serious global concern." One of the most common approaches for alternative prevention and management of bacterial illnesses includes resurrecting the old practice of bacteriophage treatment. Advocates of phage treatment point to numerous significant benefits possed by phages against those of antibiotics, including and not limited to host-specificity, self-amplification abilities, abilities to invade and destroy biofilm formation by bacteria, and their low toxicity towards human cells (Ghosh *et al*., 2019). The science of phage biology is gaining maturity due to the advent of analytical methods that can investigate very minute biological entities (about 25-200 nm lengthwise), including next-generation sequencers as well as electron microscopy.

These technical breakthroughs have brought about renaissance in phage treatment research, as seen in the current surge in human and animal trials (Pires, Melo, Vilas Boas, Sillankorva, & Azeredo, 2017).

Phages have the capacity to activate both innate and adaptive immune cells, which may impact the outcome of phage treatment. There are three primary areas of phage-immune interaction that have been identified. First, immunological detection via pattern recognition receptor (PRR), which is a mechanism for phagocyte recruitment to the infection site. When PRR detects phage-derived DNA and RNA, phages can facilitate triggering of inherent immune cells. However the degree of immune activation varies with phage type, phage dosage, and *in vivo* nucleic acid synthesis activity (Criscuolo *et al.*, 2017) (Nagel et al., 2016).

Phages can be used as mixtures, commonly known as "phage cocktails", is a significant benefit of phage therapy over traditional therapies for avoiding resistance development. Phage cocktail refers to employment of many phages, each targeting a different receptor and belonging to a distinct genetic lineage, and is believed will improve the capacity to compensate against adsorption loss or host genetic protective mechanisms (Malik *et al*., 2017). Additionally, genetic engineering of phages can be used not only as a means of increasing the variety of hosts per phage but also increase phage targeting efficiency in order to avoid host resistance to the cell. Another factor to consider is that bacterial changes that give phage resistance frequently cost the resistant bacterium fitness (Ghosh *et al*., 2019). The function of the indigenous gut phageome in human health and illness should also be considered when assessing the feasibility of phage treatment.

2.8.1 Historical and current use of phages

For more than a century, phage use in treating bacterial illnesses has been established, but it is gaining popularity because of the advent of multidrug-resistant organisms. The distinct lysis zone attributed to phage infection was recorded by Frederick Twort in 1915, although it was Felix d'Herelle who recognized this phenomenon, attributing the plaques

to viruses that feed on bacteria thus coining the name "bacteriophage" (meaning, "bacteria-eater") Ghosh *et al*., 2019). D'Herelle was also responsible for the first known clinical trial of phages, which occurred Paris in 1919 at the Hôpital des Enfants-Malades. In this clinical use of phages four juvenile incidences of diarrhoea arising from bacteria infection were successfully treated. Poor controls were one of the reasons that undermined d'Herelle's early trials, leading to strong protests from the scientific community (Torres-Barcelo & Hochberg, 2016).

Despite this, d'Herelle continued to develop bacteriophage treatment in the early twentieth century, employing a network of bacteriophage therapy clinics and manufacturing companies found allover Europe and India for produscion of phages to treat dysentery, cholera, and bubonic plague. In 1931 research using phage therapy as a cholera cure in India's Punjab area, d'Herelle saw a 90% decrease in mortality, with about 74 fatalities in the control group and only 5 in the experimental group (Chanishvili, 2012; Lin *et al*., 2017)

Bacteriophages are being used in the clinical therapy of bacterial infections with remarkable success. Lehman and Donlan (Lehman & Donlan, 2015) reported *in vitro* investigations of urinary tract infections (UTIs) with isolated bacteriophages on particular pathogens using cocktail to cover a larger host range to prevent resistance. Among the prevalent causes of UTIs are *E. coli* and *K. pneumoniae* (Yang et al., 2010)*.* In 1981-1986 in Poland, 550 patients were included in a number of reviews conducted by physicians at Hirszfeld Institute of Immunology and Experimental Therapy in Wroclaw for conditions caused by bacteria with 70 to 100% cure rates. Suppurative skin infection caused by *E. coli, Klebsiella, Proteus, Pseudomonas and Staphylococcus*, of the 31 patients under study, 23 cases showed marked improvement with treatment by bacteriophages (Yin *et al*., 2021; Abedon *et al*., 2011). In another case, cerebrospinal meningitis caused by *K. pneumoniae*, following unsuccessful treatment with antibiotic therapy, a new-born was successfully treated with orally administered phage (Furfaro *et al*., 2018.

In Brazil and US, additional medical entrepreneurs commercialized phage manufacturing with formulations for *Staphylococcus, Streptococcus, E. coli*, and other infections caused by bacteria. Willing doctors were given the concoctions, but therapy was greeted with uneven results; this lack of dependability contributed significantly to Western medicine's preference for antibiotics. The errors made in the formative days of phage treatment were ascribed to a lack of knowledge about the biological interactions of phages. The use of crude purification and preservation techniques produced very low titers of viable phage. Contaminants mainly from bacterial antigens as well as bacteriophages with no infectivity were utilized in the treatment thus undermining the effects of the target bacterial host cells (Principi *et al*, 2019; Ul Haq *et al*, 2012).

Presently, there exists no bacteriophage treatment products licensed for use in human in the European Union or the US. However, various commercial phage formulations are employed in the food sector for the biocontrol of bacterial diseases. Approval for such formulations has been granted by the Food and Drug Administration (FDA) as "generally recognized as safe" mainly for treatment of *Salmonella* spp., *Listeria monocytogenes*, *Mycobacterium tuberculosis* among others (Torres-Barceló, 2018).

There were approximately 48 million incidents related to food poisoning in US in 2011(Scallan et al., 2011). Evidence shows bacteriophage biocontrol being an effective strategy in enhancing food safety at several phases of producing and processing meat, as well as reducing contamination caused by bacteria in fruits and vegetables as well as dairy products (Moye *et al*., 2018) (Endersen & Coffey, 2020) (Fernández *et al*., 2018)

Advancement in technology, among them, CRISPR/Cas gene editding tool have opened a plethora of new possibilities for phage treatment. For example, a bioengineered phage might be used to transfer a CRISPR/Cas designed to break antimicrobial resistance genes thus rendering antibiotic resistant plasmids scusceptible. Such genetically engineered phages might be used to minimize the occurence and spread of antibiotic resistance genes on hospital surfaces (Salmond & Fineran, 2015; Ghosh *et al*., 2019). However, the area of bioengineered phages is still in its early stages, it is expected to produce several breakthrough technologies that will improve the usage of phage therapy.

2.8.2 Advantages and Disadvantages of Phage Use

Bacteriophage treatment offers varied significant advantages that make it an appealing alternative/supplement to antibiotics. In contrast to antibiotics, that are known to have broader scope, phages are very unlikely to induce dysbiosis or cause secondary infections (e.g., fungal infections). Furthermore, it has been demonstrated that bacteriophages have no substantial side effects or known toxicity to mammalian cells. In addition, the process of isolating and selecting for new bacteriophages has been proven to be less expensive and time consuming than the process of developing an efficient antibiotic, which typically takes several years and millions of dollars to create (Torres-Barceló & Hochberg, 2016). Bacteriophages have the capacity to spread widely throughout the human body when administered systemically, as well as self-replicate within the host, traits that many antibiotics lack. Phages, unlike other antibiotics, may cross the blood–brain barrier (Torres-Barceló, 2018). Some phages can also enter and disturb the biofilms that many microbes live in naturally and are protected from antibiotics and disinfectants (Alves et al., 2016) (Abedon, 2015).

Bacterial resistance to phage treatment is less relevant than antibiotic resistance since phage mixtures may be regulated by phage replacement, pressure brought about by *in vitro* evolutionary or genetic engineering. Phages also evolve to evade bacterial resistance. Phages can also be used successfully in treatment of MDR bacteria since they attack cells through many methods (Torres-Barceló & Hochberg, 2016).

Despite its benefits, the use of phages has some disadvantages. These, however, are mostly due to information gaps that in future may be overcome. There exists scarcity of detailed information on therapeutic application of bacteriophages to treat illnesses caused by bacteria. When compared to conventional medicines, scientists face even greater obstacles in gaining necessary regulatory clearances for phage-based therapeutics (Reindel & Fiore,

2017). More research and less stringent clinical rules, on the other hand, can help to alleviate this problem (Abedon, 2017).Furthermore, phage genetic biosafety is difficult to measure. Genes coding for toxins or virulence genes, and those coding for antibiotic resistance genes, or those with ability to transfer genes horizontally in the human microflora must not be present in phages utilized for treatment (Kutter *et al*., 2010). While whole genome sequencing is a useful platform for assisting in investigations, the functionality of all phage encoded genes remain unknown. This is likely to be solved in the future by phage genetic engineering (Y. Chen et al., 2019).The reticuloendothelial system may drastically lower phage concentrations during therapy or be neutralized by antibodies, reducing their antibacterial efficacy. The effect of phage-neutralizing antibodies, on the other hand, may be minimized by fine-tuning dosage regimens as well as engineering or selecting for phages that can elude the immune system (Criscuolo *et al*., 2017).

2.9 Current use of Phages in Combating *E. cloacae*

To address the issues raised by multidrug-resistant *E. cloacae*, a more effective alternative treatment approach is needed. Phages are excellent bio-degraders that consume bacteria. They are regarded as viable option in the suppression of bacterial proliferation within the environment as well as diseases control. Monohar *et al* in 2018 (Manohar *et al*., 2018) employed *E. cloacae* strain el140 obtained from health facilities in Tamil Nadu region of India, in their *in vivo* study in larvae el140 and ELP140 of the *Enterobacter* phage belonging to the *Podoviridae* and *Myoviridae* families, respectively. This *Enterobacter* phage ELP140 was shown to be less efficient in treating infected larvae with a single dosage of the phage in this investigation.

This study proved that the efficiency of phage treatment is governed only by the particular phage's capacity to lower bacterial burden, rather than the complexity of phage manufacturing. Aminov *et al* in 2019 (Aminov *et al*., 2019) discovered that *E. cloacae* phages lacked genes that would limit their usage for phage treatment. The phages were

particularly lethal to *E. cloacae* isolates from left-ventricular assist device (LVAD) infections, where the disease might well have originated in the skin. Only one phage was effective in killing UTI *E. cloacae* isolates. Additional isolates from different locations be investigated to establish whether bacterial site of origin influences efficiency of phage killing.

CHAPTER THREE

3.0 METHODOLOGY

3.1 Study site and design

The study was a laboratory based experimental study conducted at the Kenya Medical Research Institute (KEMRI) and Institute of Primate Research (IPR) in Nairobi, Kenya. Phage isolation and characterization was conducted at IPR laboratories while extraction of phage DNA and sequencing was done at the KEMRI Centre for Microbiology Research laboratories. The general flow of the work is shown in Figure 3.1 and a detailed description of each procedure provided hereafter.

3.2 Bacteria culture

Standard control strains of *E. cloacae* (ATCC 50398) and de-identified archived multidrug resistant clinical isolates (from protocol SERU#2767) were cultured on sheep blood agar (SBA) and/or MacConkey agar plates then sub-cultured on Mueller Hinton Agar (MHA) to obtain pure cultures. This was followed by catalase and oxidase tests for all the bacterial isolates. In catalase, 3% hydrogen peroxide was poured in a test tube and using a sterile wooden applicator stick, a colony of an 18-24 hours of bacteria was immersed in the hydrogen peroxide and observed for bubbling. In the oxidase test, the swab method was used in which a swab was dipped into Kovac's oxidase reagent. The wet swab was then used to touch a colony of an 18-24-hour bacteria and a colour change observed in 5-10 seconds. Identity and antimicrobial susceptibility patterns were confirmed on an automated Vitek2 platform and documented. The clinical isolates used for this study were selected based on the confirmed antimicrobial susceptibility test (AST) patterns and the degree of multidrug resistance.
3.3 Sampling strategy

Sampling was done from several environmental sources providing the diversity of phages needed to increase the chances of obtaining the targeted bacteriophages from the environment which include:

3.3.1 Fresh water (Lake Victoria).

Studies of marine environment suggest that sea water is a rich source of bacteriophages having about 10 phages per bacteria/archaeal cell. Fresh water has been shown to have a similar bacteriophage abundance (Levy & Marshall, 2004). Because phages have been known to co-exist with bacteria, areas of human activities were considered for sampling, in this case samples were collected from Dunga beach in Kisumu County.

3.3.2 Hospital sewage.

Sewage was obtained from the Kenyatta National Hospital with a bias for sewer line originating from the hospital wards. The hospital serves a population of about 1.1 million patients in a year with varied infections many of whom are on antibiotics. Due to the antibiotic use and nosocomial infections which are typically more multidrug resistant than community strains, the hospital sewer was presumed to be a rich environment from which to isolate the target bacteriophages. Specific bacteriophages are found in evironments where their host bacteria are abundant (Levy & Marshall, 2004).

3.3.3 Urban effluent.

Combined Sewer Overflows (CSOs) are a primary source of faecal pollution indicators to urban settlements receiving waters from streams and rivers. The discharge in-streams, accumulation of sediments from CSOs constitute a bacterial reservoir fielding a continous innoculation to the receiving waters. (LauraBaronea, 2019). Nairobi Rivers and streams receive sewage effluents from residential and commercial. This forms a rich source of phages and hence Chiromo River at Museum Hill, Mathare River, Kibera slums and the sewage plant at Kariobangi were considered for sampling.

3.3.4 Sample collection

Samples from the Lake Victoria, KNH sewage and urban effluent including Mathare River, Kibera slums, Kariobangi, Chiromo River and Zimmerman Estate were collected in sterile 500 ml bottles. Samples were then transported in cool boxes on ice to the laboratories at the IPR for processing. 500 mls of water samples were collected in triplicates.

EXPERIMENTAL FRAMEWORK

Figure 3.1: Schematic representation of experimental framework of the isolation, prurification selection and characterization of phages against MDR *E. clocae*

3.4 **Isolation of bacteriophages targeting** *E. cloacae***.**

3.4.3 **First enrichment of potential phages from liquid samples**

Each of the collected liquid samples were centrifuged at 10000g for 15 minutes at 4˚C. 15 ml each of the supernatant was used in the enrichment step and the volume topped up using double strength (2x) Tryptone Soy broth (dsTSB) (Casein peptone [pancreatic] 17.0g, Soya peptone [papain digest] 3.0g, NaCl 5.0g, Dipotassium hydrogen phosphate 2.5g, Glucose 2.5g, dH₂O 1litre, final pH 7.3 $+/-$ 0.2 at 25 °C) to make 30 ml of solution. A 100 µl suspension of control strains of *E. cloacae* bacteria were then added into separate labelled tubes containing 30 ml of the dsTSB and liquid sample solution. The enrichment was cultured overnight at 37 °C on a shaker (Model No. 3525-1, Lab-Line Instruments, Lab-Line Plaza, Melrose Park, Illinois, USA.) set at 120 rpm. This procedure was followed by a second and final enrichment step.

3.4.4 Second enrichment of potential phage from each of the sample collected from the different sources

The target bacterial cells in the first enrichment were obtained through centrifugation for 15minutes at 10,000g and 4 ˚C followed by filtration using a 0.45 μm filter membrane (CHMLAB, Spain). A volume of 2.5 ml of each filtrate was then transferred into labelled sterile 15 ml tubes and further enrichment of potential phages done overnight by addition of 2.5 ml dsTSB broth and 50 μl of the control strains of *E. cloacae* for the test and a negative control (sterile water) was also included. The enrichment was done overnight at 37 ˚C on a shaker (Model No. 3525-1, Lab-Line Instruments, Lab-Line Plaza, Melrose Park, Illinois, USA.).

3.5 Isolation of bacteriophages from the enriched media

After the overnight incubation, each phage suspension from the second enrichment stage was centrifuged for 15 minutes at 10000g and at 4 ˚C. Obtained supernatant was filtered using a 0.22μm membrane filter (CHMLAB, Spain) and stored as stock phage lysate 4 ˚C.

Tryptic Soy Agar (TSA) (Casein peptone [pancreatic] 17.0g, Soya peptone [papain digest] 3.0g, NaCl 5.0g, Dipotassium hydrogen phosphate 2.5g, Glucose 2.5g, Agar 15 g, dH2O 1litre, final pH 7.3 +/- 0.2 at 25 °C) plates were then prepared (0.04% w/v) and 100 µl of each respective bacteria spread onto the agar plates to create a bacterial lawns. This was followed by spreading of 5 μl supernatant from each of the second enrichments. The plates were labelled and incubated at 37 °C overnight then examined the following day for the presence of plaques in each bacterial lawn. Four (4) individual plaques were selected from each environmental source for each target organism and transferred into labelled sterile bottles containing 500μl of TSB media and incubated for 37 °C overnight as an initial phage stock.

3.6 Phage titration, propagation, cross infection and identification

A 1:10 serial dilution of the initial phage stock was made to determine the number of infective phage particles through a double-layer plaque assay. Using a sterile pipette, 900 μl each of SM buffer (NaCl 100mM, NaSO4.7H2O 8mM, Tris-Cl [1M, pH 7.5] 50mM, Gelatin $[2\%, w/v]$ 0.01%, H2O to 1 litre, final pH adjusted to 7.5) was added into eppendorf tubes and labelled from 10^{-1} to 10^{-8} . A 100 µl phage stock of each respective bacteria was serial diluted from 10⁻¹ to 10⁻⁸. Bacterial lawns were prepared in 7% soft agar and 100 μl of respective target bacteria added and swirled by rubbing between palms before pouring the mixture onto TSA plates. The agar plates were then allowed to set before spotting 5 μl each of the serially diluted phage stock each at the respective diluted factor. The plates were then allowed to dry before incubating overnight and plaque forming units (PFU) observed thereafter. Dilutions with 30-300 PFU were picked for plaque assays. For plaque assay, 100 μl of target bacteria and 100 μl of phage at the dilution factor with 30-300 PFU were added to soft agar, swirled between palms and the mixture poured onto labelled TSA plates. The plates were then allowed to settle followed by an overnight incubation at 37 °C. Plates were observed for plaques the following morning.

3.7 Phage titration and propagation prior to purification

Each plate was examined for plaques and each plaque picked based on its morphological appearance. The picked plaques were re-suspended in 1 ml of Sodium-Chloride-Magnisium-Sulphate-Gelatin (SM buffer) in eppendorf tubes and vortexed gently between palms then incubated for one hour at RT. The tubes were centrifuged at 10,000g for 15 minutes at $4 \degree C$. The supernatant was filtered through a 0.22 μ m membrane (CHMLAB, Spain) and stored as phage stock at 4 °C. A volume of 100 μl each filtered phage and target bacteria were then mixed in 0.7% soft agar and overlaid on agar plates. They were then incubated overnight at 37° C and those with plaques of similar morphology scrapped off the agar plate and mixed with 3 ml of SM buffer. This was followed by incubation for half an hour at RT, centrifuged at 10000 g for 15 minutes at 4 °C followed, then filtration through a 0.22 μm membrane (CHMLAB, Spain) before storage as phage clone for each target bacteria.

3.7.3 Phage precipitation and Purification.

Phage lysates ($\geq 10^8$ or 10⁹ PFU/mL) were propagated in a large volume, concentrated, and cleaned up in the presence of NaCl and polyethylene glycol (PEG) as described elsewhere https://cpt.tamu.edu/phage-links/phage-protocols/, Guittierez *et al.,* 2018. Briefly, a single colony of *E. cloacae* was inoculated in a sterile 10 ml tube that contained 5 ml of sterile TSB media. The bacterial culture was incubated at 37 °C on a shaking incubator (150 rpm) for 18-22 h overnight. From the overnight bacterial culture, 500 μl was added to 50 ml sterile TSB in a sterile 100 ml conical flask (1% v/v of the *E. cloacae* overnight culture). The bacterial culture was then incubated at 37 \degree C with shaking (150 rpm) until the exponential growth phase $(OD₆₀₀=0.4-0.7)$ was achieved. Subsequently, the exponentially growing bacteria was transferred to four sterile 50 ml Falcon tubes, one for the control and three for phage propagation with three different multiplicity of infections (MOIs) (0.1, 1 and 10). The tubes were incubated at 37 °C in shaking incubator (Model No. 3525-1,

Lab-Line Instruments, Lab-Line Plaza, Melrose Park, Illinois, USA.) for 2-3 hours or until observation of complete lysis of the phage treated cultures (transparent tube) in comparison to the control tube (turbid tube) by visual inspection.

Each phage lysate was centrifuged, filtered and titrated using spot tests and plaque assays. The optimal MOI in this study was 1 and the propagation protocol was repeated to scale the volume of phage lysate stock with highest titer ($\geq 10^9$ PFU/mL). The final propagation was performed using 250 ml bacterial culture at its exponential growth phase and until complete lysis of the bacterial cultures. The suspensions were then centrifuged at 10,000g, 4°C for 10 minutes with the supernatants being transferred to new sterile culture bottles using serological pipettes. The final phage lysate stock was filtered though 0.22 μl membrane filter (CHMLAB, Spain), treated with RNase (40 μg/ml final concentration) and DNase (40 μg/ml final concentration) to digest bacterial nucleic acid followed by incubation at room temperature for half an hour. An aliquot of 8 ml filter-sterilized EDTA solution was added to the suspension and finally, the lysate was concentrated by addition of NaCl (0.5 M final concentration) and polyethylene glycol (PEG 7.5% final concentration). The mixture was divided into sterile Falcon tubes and centrifuged for 40 minutes at 13,000 x g, supernatant discarded and precipitate dried by spinning for 3 minutes at 4,000 x g to form a clear pellet at the bottom of the centrifuge tube. Each phage pellet was suspended in 500 μl SM buffer, into new sterile centrifuge tubes and centrifuge for 10 minutes at 21,000xg. The supernatant was then filtered through 0.22 μl membrane filter (CHMLAB, Spain) and the precipitated phage suspension sub-cultured on solid culture medium (TSA) to check for the presence of bacterial contamination. The phage precipitate titer was determined and then stored at $+4$ °C.

3.7.4 Host Range Determination

Using a sterile pipette, 100μl of each of the five *E. cloacae* phage stocks were pipetted into a sterile 15 mL tubes containing 100μl of the MDR strains. The MDR strains included reference and clinical isolates to ensure activity against a broad range of pathogenic and

S. aureus strains. A volume of 3 ml semisolid TSB agar (1.5% agar), was added to the mixture before pouring on labelled TSB agar plate. The soft agar medium was then allowed to solidify, before incubating at 37 °C for 18 hr. The procedure was conducted in triplicate together with a control plate. The phenotypes of the plaques for each phage were documented to ensure stability of the lytic phenotype and consistency of the size of the plaque. Bacteriophages (\sim 5 each) with stable activity against the two MDR bacteria were selected for genomic characterization.

3.7.5 Multiplicity of Infection (MOI).

TSB media (25 ml) was inoculated with 250 μl of 24 hr old host bacteria in a 50ml sterile conical flask and incubated in a thermoshaker (Model No. 3525-1, Lab-Line Instruments, Lab-Line Plaza, Melrose Park, Illinois, USA.) at 100 rpm and 37°C until OD 600 reached 0.4 (approximately 10^8 CFU/ML at 3 hours). An Elisa plate was labelled for serial dilution, each well containing 180 μl of Phosphate buffered saline (PBS) and 20 μl of the host bacteria. An aliquot (100ul) of the serially diluted host bacterial was transferred to agar plate and spread until the liquid dried. The plates were then incubated for 18-24hrs at 37 °C. Plates with colony counts of between 30-300 were chosen for calculating the CFU/ml using the equation; CFU/mL = N x 1/DF x 1/V

Where $N =$ counted number of colonies

DF= dilution factor

V= sample volume spread.

Once the host bacteria reached exponential growth phase, 900 μl of the bacterial culture was dispensed in sterile eppendorf tubes and labelled 0s, 30s, 1 minute, 5, 10, 15, 30 and 60 minutes in triplicate. Into each tube, 100 μl of phage lysate of specific titer added followed by incubation of the tubes at 37 °C for the respective times indicated on the tubes. For the first three time points, the tubes were stored on ice because of the proximity of the timings. At each point, samples were removed and centrifuged at 10,000 x g for 15

minutes, filtered and stored at 4 °C until all time points were completed. A serial dilution of the supernatant was done to determine phage titres through spot and plaque assay using the bacterial host as the indicator strain. MOI was then calculated using the MOI calculator at http://moicalculator.phage.org.

3.8 Physical characterization of isolated phages

The thermal (heat) and pH stability of the isolated bacteriophages was performed as described previously by Luo *et al*., 2013. The phages were incubated at different temperature range of 4, 20, 25, 37, 60 and 90 °C respectively and at pH 7.5 for 2 hours and immediately cooled in ice water. Serial dilution was then done and the surviving phages spotted on soft agar overlay and plates incubated overnight at 37 °C. pH stability of the phages was determined by subjecting the phages to different pH values of 2, 4.5, 5.5, 7.5, 9.0, 11.5, and 13. Briefly after adjusting SM buffer to the required pH value using 0.1 M NaOH or 0.1 M HCl, an aliquot of 100 μl of phages were suspended in 100 μl of each of the adjusted pH SM buffers for 2 hours at 25 °C. Serial dilution was done followed by spotting on double layer overlay on agar plates and an overnight incubation at 37 °C. These plates were done in triplicate with the surviving plaques enumerated and recorded.

3.8.3 Phage genomic DNA extraction and sequencing

A high-titre ($>10^9$ pfu/ml) phage lysate (1000 ul) was used for DNA extraction. A commercial DNA extraction kit Norgen Biotek Corporation Phage DNA Isolation Kit Cat 46800 was used following the manufacturer's instructions. Briefly, the starting material was clarified phage supernatant. A working concentration of Wash solution A was prepared by adding 90 mls of ethanol (96-100%) to the bottle containing wash solution A, giving a final volume of 128 mls. A water bath and a heating block were then preheated at 65 °C. The starting material for the phage was 1ml of phage supernatant containing at least $1x10^{10}$ PFU. The 1ml of the phage lysate was transferred to a 15mls tube. To eliminate any bacterial host genomic DNA, 10 µl of DNase1 (Norgen RNase-free DNAase

kit, product #25710) was added and the tube incubated for 15 minutes at RT, followed by DNase inactivation at 75 °C for 5 minutes. 500uL of Lysis buffer B was added and the tube vortexed vigorously for 10seconds. 4 µl of Proteinase K (20mg/ml) was added and the tube incubated at 55°C for 15 minutes. This step helped increase the DNA yield by breaking down the capsular proteins to release the DNA. The tube was then incubated at 65 °C for 15 minutes with occasional mixing 2-3 times during the incubation. 320 μ l of isopropanol was added to the lysate and briefly vortexed.

The spin column was assembled with one of the collection tubes. $650 \mu l$ of the lysate was applied to the column and centrifuged at 6000g for 1 minute. The flowthrough was discarded, the column reassembled again and the process repeated until all the lysate had gone through the column. The column was then washed thrice with 400 µl of Wash solution A and spun at 6000g for one minute. The column was then spun at 14000g for 2 minutes to thoroughly dry the resin. The flowthrough was discarded. The column was then placed into a fresh 1.7mL Elution tube. 75 µl of Elution buffer B was added to the column and centrifuged at 6000g for one minute. The extracted DNA was first quantified on a NanoDrop 1000 (ThermoFisher Scientific, 5225 Verona Rd, Madison, WI 53711, USA) followed by Qubit Fluorometer (Quantus TM Flourometer, E6150, ProMega Corporation, Madison WI 55711-5399, USA,) all according to manufacturer's instructions. The elution buffer used during DNA extraction was used as a blank. The concentration of DNA was measured in ng/ul. The purified DNA sample was then stored at -20 °C for a few days awaiting sequencing.

3.8.4 PCR and Gel Electrophoresis

Phage DNA extraction was done using the Norgen Biotek Corporation Phage DNA Isolation Kit Cat 46800. To further confirm the absence of contaminating bacterial DNA, all phage DNA samples were screened using conventional PCR with primers that target the 16S ribosomal RNA specific to bacteria. The forward primer 27F: AGAGTTTGATCCTGGCTCAG, the reverse primer 1492R: TACGGTTACCTTGTTACGACTT, and One Taq Hot Start 2X Master Mix (New England BioLabs, Massachusetts, USA) (He *et al.*, 2016) was used. The PCR mastermix contained 7 µl of nuclease free buffer, 1 µl (to make a final concentration of $0.2 \mu M$) of each primer, 2.5 μ l of 2x Master Mix and 1 μ l (1000ng) of template. Amplification was done with the following thermal cycler conditions: Initial denaturation for 1min at 95°C and 35 cycles of amplification consisting of 20 s at 95°C, 1 min at 51°C for annealing and 1 minute at 68°C for extension and a final extension for 5 min at 72°C. PCR products were separated by electrophoresis on a 1% agarose gel (AmpliSize; Bio-Rad Laboratories) using $1 \times$ TAE Buffer (40 mM Tris, 20 mM acetic acid, and 1 mM EDTA.) containing GelRed Nucleic Acid and visualized on the UV transillumination (Velber Gel Documentation Systems- E-Box CX5 Edge, Fisher Biotec, Australia). O'GeneRuler 1kb DNA Ladder, 0.1 µg/µL (**#** N3232S, New England BioLabs, Massachusetts, USA) was used as a DNA size marker. In addition, a negative control with master mix and DNase free water as template was also included.

3.9 DNA Library preparation.

At the start of the library preparation for sequencing, DNA was quantified via Qubit Fluorometer (Quantus TM Flourometer, E6150, ProMega Corporation, Madison WI 55711-5399, USA,) according to manufacturer's instructions to get a more accurate DNA reading. The required amount of DNA for WGS is >50 micrograms

The DNA library was prepared using the Nanopore Native barcoding genomic DNA (EXP-NBD104, EXP-NBD114, and SQK-LSK 109) (Oxford Nanopore Technologies, UK). First, the NEBNext FFPE DNA Repair mix and NEBNext Ultra II End repair/dAtailing Module reagents were prepared according to the manufacturer's instructions and stored on ice. 1ug (about 100-200fmol) of genomic DNA was transferred into a 1.5ml Eppendorf DNA LoBind tube and volume adjusted to 48 μl with nuclease-free water, mixed well by flicking the tube, spun down, and stored on ice.

DNA (48 ul), 3.5 μl NEBNext FFPE DNA Repair Buffer, 3.5 μl Ultra II End pre-reaction buffer, 3 μl Ultra II End-prep enzyme mix, and 2 μl NEBNext FFPE DNA Repair Mix were pipetted into a 0.2 ml thin-walled PCR tube, spun down, and incubated at 20 °C for 5 minutes and 65 °C for 5 minutes using a thermal cycler. The DNA sample was then transferred to a clean 1.5 ml Eppendorf DNA LoBind tube, and 60 μl of resuspended AMPure XP beads were added, mixed by flicking the tube, and incubated for 5 minutes at room temperature on a Hula mixer (rotator mixer). After that, the sample was spun down and pelleted on a magnet until the eluate was clear and colorless.

The pellet was washed twice with 200 μm of newly made 70 percent ethanol in nucleasefree water after the supernatant was pipetted off. The sample was spun down, remagnetized, and any remaining ethanol pipetted off. It was then allowed to dry naturally, but not completely. The tube was then withdrawn from the magnet, and the pellet was resuspended in 25 μl Nuclease-free water, spun down, and incubated at room temperature for 2 minutes. After that, the beads were pelleted on a magnet until the eluate was clear and colorless. The 2.5 μl eluate was transferred to a clean 1.5ml eppendorf DNA LoBind tube. On a Qubit fluorometer, a 1 μl aliquot of the end-prepped DNA was quantified.

The native barcodes were thawed at room temperature (RT), pipetted together, and puton ice. From the 24 available, a unique barcode was chosen. The end-prepped DNA sample to be barcoded was diluted to 22.5 μ in nucleases free water at a concentration of 500ng. 2.5 μl native barcode and 25 μl Blunt/TA Ligase Master Mix were added to it and mixed by flicking, spun down, and incubated for 10 minutes at room temperature. AMPure XP beads (50 µl) were then added, pipetted, and incubated on the Hula mixer for 5 minutes at room temperature. After that, the material was spun down and pelleted on a magnet. The supernatant was then pipetted off, and the sample was washed twice with 70% of freshly prepared ethanol.

The sample was spun down, put on a magnet, and allowed to air-dry but not crack. The tube was removed from the magnet, and the pellet was resuspended in 26 μl of Nucleasefree water for 2 minutes at room temperature. After that, the pellet was put on the magnet until the eluate was clear and colorless. The eluate DNA (26 ul) was collected and deposited in a clean 1.5 Eppendorf LoBind tube, with a microlitre of it utilized to quantify the DNA on the Qubit fluorometer.

The pooled sample (700 ng) was diluted in Nuclease-free water (65 ul). Elution buffer (EB) and NEBNext Quick Ligation Buffer (5x) were thawed at room temperature, vortexed, spun down, and placed on ice. T4 Ligase and Adapter Mix II (AMII) were chilled after being spun down. One tube of Short Fragment Buffer was thawed at room temperature, vortexed, spun down, and stored on ice to preserve DNA fragments of various sizes. Adapter mix II (5 ul), NEBNext Quick Ligation Buffer (5x), and 10 μl Quick T4 DNA ligase were added to the 65 μl 700ng pooled barcoded DNA sample, gently mixed by flicking, spun down, and incubated for 10 minutes at room temperature. The reaction was then pipetted with resuspended AMPure beads (50 ul), mixed, and incubated on the Hula mixer for 5 minutes at room temperature. After that, the tube was placed on a magnet and the beads were allowed to pellet. The supernatant was pipetted off, the sample washed twice with 250 μl of Short Fragment Buffer, the beads were flicked to resuspend, spun down, and then returned to the magnet to pellet.

The supernatant was withdrawn and discarded. The tube was then spun down and put on a magnet, with any remaining supernatant pipetted out. After 30 seconds, the tube was removed from the magnet and the pellet was resuspended in 15 μl of Elution Buffer. The tube was spun down and incubated at room temperature for 10 minutes. After pelleting the beads on a magnet until the eluate was clear and colorless, 15 μl of the eluate containing the DNA library was pipetted and deposited in a clean 1.5 Eppendorf DNA LoBind tube. On the Qubit fluorometer, one microlitre of adapter-ligated and barcoded DNA was utilized to quantify the library prep. The constructed library was kept at 4 °C until it was time to be sequenced.

3.10 Sequencing and Base-Calling.

Whole genome phage DNA sequencing was performed on an Oxford Nanopore MiniION sequencer. At room temperature, the Sequencing Buffer (SQB), Loading Beads (LB), Flush Tether (FLT), and Flush Buffer (FB) were thawed. SQB, FLT, and FB were mixed by vortexed, spun down, and placed on a rack at RT. The lid of the MinION MK1B was opened, and the flow cell slipped beneath the clip. To open the priming port, the priming port cap was turned clockwise. Under the lid, a little air bubble was tested, and a small volume was pulled to eliminate any bubbles. A P1000 with a capacity of 200 μl was inserted into the priming port, and the wheel was turned until the dial read 220-230 ul, or until a small volume of buffer was seen entering the pipette tip.

Flow cell priming mix was made by simply adding 30 μl of frozen and mixed Flush Tether (FLT) to a tube of thawed and mixed FB and vortexing at RT. The priming mix (800 ul) was then loaded into the flow cell through the priming port, which prevented the entrance of air bubbles. The flow cell was incubated at room temperature for 5 minutes. Pipetting was used to fully mix the contents of the LB before loading it. 37.5 μl of SQB, 25 μl of LB (mixed immediately before usage), and 12 μl of DNA library prep were combined in a fresh tube. The flow cell priming was completed by gently raising the SpotON sample cover, allowing access to the SpotON sample cover. The priming mix (200 ul) was loaded into the flow cell through the priming port, which prevented the entrance of air bubbles. The prepared library was gently mixed by pipetting up and down before loading 75 μl of the sample dropwise into the flow cell via the SpotON sample port, ensuring that each drop flows into the port before adding the next. The SpotON sample cover was carefully reinstalled, making sure the bung entered the SpotON port, before closing the priming port and replacing the MinION Mk1B lid.

The MinION Mk1B was then connected to the server using a UBS connection, and the sequencing settings were configured. Sequencing was performed for 5 hours.

3.11 Genome characterization and Phylogenetic analysis

To identify important phage signatures and motifs of the chosen phages and to conduct comparative genomic analysis against other bacteriophage sequences in public databases, whole genomes were analyzed using PhiSiGns programs (http://www.phantome.org/phisigns/; http://phisigns.sourceforge.net/; The ORFs were predicted using the GeneMark program (http://exon.gatech.edu/) and ORF Finder (http://www.ncbi.nlm.nih.gov/gorf/gorf.html) and REsFINDEr was used to search for potential allergens, virulence and antibiotic resistance genes in virulence factor and allergen databases (http://www.allergenonline.com, http://www.mgc.ac.cn/VFs) (Chen *et al*., 2012) and ResFinder (http://cge.cbs.dtu.dk/services/ResFinder/) (Kleinheinz *et al*., 2014). The translated DNA sequences of the sequenced bacteriophages were matched with homologous sequences from phages in the same family using the ClustalW2 tool for phylogenetic analysis. To confirm the phenotypic traits of the chosen phages, the PHACTS tool (http://www.phantome.org/PHACTS/upload.php) that predicts if the bacteriophage is temperate or lytic in its lifestyle was utilized.

3.12 Scientific and Ethical Approval

Ethical clearance for this study was obtained from the KEMRI ethical review board. Approval reference; KEMRI/SERU/CMR/P00111/3953.

3.13 Data Analysis

All laboratory data obtained was recorded in the laboratory note book and/or entered in excel sheets in a password protected computer backed-up on external drives. Computational data utilized institutional server and web-based programs and stored on password protected folders on shared drives.

Data analysis was done using various bioinformatics tools such as PhiSiGns, GeneMark, REsFINDEr and ClustalW2 as specified in the materials and methods section to characterize the bacteriophages and to compare genome sequences against reported

sequences in databases and conduct phylogenetic analysis of translated DNA sequences of ORFs.

3.14 Biosafety

Laboratory Safety was strictly observed in that all experiments were performed under sterile conditions on a clean bench and/or in a laminar flow hood to not only protect staff but also contain any spillage of the test organisms which are pathogenic. All the two laboratories at KEMRI and IPR are biosafety laboratory level II with Biosafety cabinets level II. Personal protective equipment that includes gloves, laboratory coats and masks were also be used to protect any personnel involved in handling infectious materials. All media and cultures were disposed of by autoclaving at 121 °C for 15 minutes in an autoclavable bag or by incineration. Surfaces were decontaminated using 10-20 % bleach solution for 10 minutes or 70% isopropyl alcohol for 15 minutes.

CHAPTER FOUR

4.0 RESULTS

4.1 Phenotypic confirmation of *E. cloacae* **isolates.**

These isolates were acquired from the KEMRI repository and sub-cultured on MacConkey agar, Sheep Blood agar and Mueller Hinton agar. There were four clinical isolates blinded as BPa, BPb, BPc and BPd, with an ATTC control as *E. cloacae* 50398. They were all tested for Gram stain, the result of which was gram negative rods. Two biochemical tests (catalase and oxidase) were done for all the isolates, with all the bacteria turning positive for catalase test and negative for oxidase test. The results for both clinical and ATTC isolates of *E. cloacae* are summarised in Table 4.1. while their growth is shown in Figure 4.1

4.2 Morphology of *Enterobacter cloacae*

Phenotypic confirmation and morphology of *E. cloacae* as seen on agar plates of different types of media.

Figure 4.1: *Enterobacter cloacae* clinical isolate cultured on different types of media. A= MacConkey; B= Mueller Hinton Agar; C & D= Sheep Blood Agar (SBA)

Figure 4.2: A micrograph of *E. cloacae* **as seen under the light microscope after Gram's staining. Magnification X1000.** The bacteria retains the colour of the counter stain thus appearing red, rods in shape and in chains.

4.3 Antimicrobial Susceptibility testing.

After physical and biochemical test of the MDR *E. cloacae* clinical isolates, the Vitek® 2 platform was used to ascertain the AST profile of the different clinical isolates. The results are as indicated in the Table 4.2.

Ticarcillin/Clavulanic Acid MIC TCC **Ticarcillin/Clavulanic Acid MIC TCC Chloramphenicol MIC C** Trimethoprim MIC TMP **Trimethoprim MIC TMP Meropenem MIC MEM** Minocycline MIC MNO **Minocycline MIC MNO** Meropenem MIC MEM **Moxiflaxacin MIC MXF** Moxiflaxacin MIC MXF Chloramphenicol MIC Cefuroxine Axetil CAE evofloxacin MIC LVX **Levofloxacin MIC LVX** Cefuroxime MIC CXM **Cefuroxime MIC CXM Cefuroxine Axetil CAE** Aztreonam MIC ATM Tetracycline MIC TCY **Tetracycline MIC TCY Aztreonam MIC ATM** Cetriaxone MIC CTX **Cetriaxone MIC CTX** Tigecycline MIC TIG **Tigecycline MIC TIG** Cefepime MIC FEP Cefixime MIC CFM **Cefixime MIC CFM Cefepime MIC FEP Colistin MIC COL** Piperacillin PIP2 Colistin MIC COL **Piperacillin PIP2 #R ID** G MDR R R R R R R R R S R R R R S R S R 14 Bpa * |R |R |R |R |R |R |R |S |R |R |R |S |S |S |R | 13 | Bpb G CarbapenamR R R R R R R R R R S S S R S R R 12 Bp3 S R R R R R R R R S R R R R S S R R 12 Bpc G Colistin R I R R R R R R R S S S R R S R R R 12 BPd * R R R R R R R R R S S S I R S R R R 11 BP2 |R |R |R |R |R |R |R |R |S |S |R |R |S | I | |S | 11 | Bp1

Table 4. 2: Antimicrobial susceptibility testing result for *Enterobacter cloacae* **clinical isolates blinded as Bp.**

 $R =$ Resistance $S =$ Susceptible I = Intermediate susceptability

Clinical isolates of *Enterobacter cloacae* were subjected to Vitek® 2 platform for confirmation results on the bacterial identity and antimicrobial resistance profile. Seven clinical isolates were classified as MDR as they were resistant to more than 3 classes of antibiotics: BPa, BPb, BPc, BPd, BP1, BP2, and BP3. (BPb and BPc) were susceptible to four antibiotics, that is, Meropenem, Tigecycline, Chloramphenicol and Colistin and Ticarcillin/Clavulanic acid, Meropenem, Tigecycline and Chloramphenicol respectively. BPa was susceptible to only three types of antibiotics; Meropenem, Tigecycline, and Colistin while BPd was susceptible to Levofloxacin, Moxiflaxacin and Colistin. Bpa was selected as the most resistant clinical isolate to be used for bacteriophage hunting due to its resistance profile.

4.4 Phage Screening

4.4.1 Spot test results

BPa and ATTC isolates were screened against samples from all the sources by spot test. Lytic activity was detected only against isolates from Mathare, Zimmerman, Kibera and Chiromo, some of the results are shown in Figure 4.3 as bacterial lawns.

Figure 4.3: Spot assay results revealing clear zones indicative of the presence of bacteriophages on the bacterial lawns. Plates A & C are bacteriophages from Mathare River and Kibera tested on clinical isolate BPa. Plates B and D are bacteriophages from Mathare river 2 and Zimmerman tested on the ATTC isolate.

4.4.2 Morphology of phages obtained

The isolated phages were characterized by plaque assay, the results shown in Figure 4.4 produced bacteriophages of different sizes as formed on host bacterial lawns of both the ATTC and clinical isolate BPa.

Figure 4.4: Double layer plaque assay revealing plaques of various sizes on the host bacteria. Plates A & B are bacteriophages from Mathare River n lawns of BPa as host while plates C and D were plaques formed by bacteriophages from Mathare river and Zimmerman on lawns of ATTC as the host.

4.5 Physical characterization.

Physico-chemical properties have been known to influence the stability of bacteriophages both in terms of survival and persistence. The isolated phages were subjected to temperature and pH variations to assess not only their therapeutic potential but to simulate their survival in storage conditions. Temperature has been shown to play a pivotal role in phage attachment to host bacterial cell, genetic material injection into the host and eventual phage multiplication. Phages were subjected to various temperature conditions at pH 7.5, with 4℃ as control, 25℃ as room temperature, 37℃ as normal body temperature, while 60℃ and 90℃ to test the highest temperature the phages can survive. The phages were stable after an hour in a water bath at 4℃, 25℃ and 37℃, showing a concentration of about 7 log PFU.mL-1. At 60℃ phage titer dropped to half at about while at 90℃, the phage lost their titer to nearly zero. pH tolerance was also assessed for the isolated phages, ranging from pH 2 as acidic, pH 4.5, pH 5.5, pH 7.5, pH 9.0, pH 11.5 and pH 13 as basic. The phages tolerated a wide range of pH. At high acidic pH of 2.0 and high basic pH of 13.0, the phage titers were almost at zero while at pH 4.5 to pH 11.5, the phage remained stable with pH 7.5 being the optimal pH for the phages. Results are shown in Figure 4.5 for clinical isolate BPa Mathare river bacteriophages on plate A for pH while for temperature the phages against ATTC isolate from the Mathare river are shown in plate B

Figure 4.5: Thermal and pH stability of isolated phages. A]: This phage BPA MRB1 exhibited activity at all pH evaluated except at pH 2 and 13. Efficiency increased with increasing pH from pH 4.5 to 9, dropping to nearly half at pH 11.5. Optimal pH was 7.4 hence acting as the control. B]: This phage BPA MRB1 exhibited activity at all temperatures evaluated except at 90 °C. Phage BPA MRB1 has high stability with high efficiency at 4 °C to 37 °C with an optimal temperature being 37 °C. Efficiency at 60 °C reduced to nearly half and to zero at 90 °C.

Isolated bacteriophages were tested for pH tolerance. The phages showed high tolerance to a range of pH values with no significant change noticed between pH 4.5 and pH 9.0, with pH 7.5 being the ideal pH for the phages. At pH 11.5, the phages viability decreased to nearly half while at lower pH of 2.0 and higher pH of 13.0, the phages completely lost their viability (Fig 4.6A). The phages were able to tolerate different temperature conditions with no significance loss of viability at temperatures $4 \degree C$, $25 \degree C$ and $37 \degree C$. However, when the phages were treated at 60° C for 1 hour, they lost their viability which decreased sharply to nearly half while the infective ability of the phages was completely lost when subjected to 90 °C for 1 hour (Fig 4.6B).

Figure 4.6: Graphical representation of the phage titres at different pH and Temperature variations There was little or no activity at pH 2 and 13 (1a). This phage increased in activity from pH 4.5 to 11.5 (1b). The phage had little or no activity at pH levels of 2 and 13, but rose steadily from 4.5 to 11.5, with optimal activity experienced at pH value of 7.5. Figure 2a and 2b represent phage titres at different temperature values. The phages level of activity increased with increasing temperature up to 37 °C, at 60 °C it dropped to nearly half while at 90 °C activity drops to almost zero.

4.6 Host Range Analysis.

Results for host range analysis in which the 19 isolated were tested against 32 *E.cloacae* bacterial isolates and one *S. aureus* as a negative control were analysed and presented in the Table 4.3.

A total of 19 bacteriophages were isolated, majority of which were from environmental samples, mainly from sewage water flowing into Nairobi River. Sewage water is believed to contain a variety of microbes due to contaminations from hospital drainage water and municipal wastes.

The isolated bacteriophages were found to be extremely lytic, as evidenced by their clear plaque-forming behavior, resulting in distinct plaques (of between 1 to 5 mm) Figure 4.3. The phages, showed a wide host range, with all the 19 phages lysing 12 out of the 32, (32 *E. cloacae* and 1 *S. aureus*) bacterial isolates they were subjected to (Figure 4.3) and displaying a lytic range of between 15-23 bacterial isolates. This was a 63.16% of the phages showing 100% lysis of the isolates subjected to. 7/33 (36.84%) of the bacterial isolates were not lysed by any of the 19 phages. There was no lysis on the *S. aureus* negative control plates by all the 19 phages. Host range is an important factor in determining how the phage is used in phage therapy

																				Total # of phages that lyse the
Bacterial isolates	#2	#4	#1	#14	#19	#7	#5	#10	#13		#9 #15	#3	#11	#12	#16	#17	#18	#8	#6	bacterial strains
Enterobacter cloacae #1	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	÷	$\ddot{}$	$+$	÷	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$+$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$+$	19
Enterobacter cloacae #2	$\ddot{}$	$\ddot{}$	$\ddot{}$	÷	÷	$+$	$\ddot{}$	÷	÷	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$+$	$+$	$\ddot{}$	$+$	$+$	19
Enterobacter cloacae #4	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	19
Enterobacter cloacae #5	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	÷	$\ddot{}$	$+$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$+$	$+$	19
Enterobacter cloacae #7	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	÷	$\ddot{}$	$+$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$+$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$+$	19
Enterobacter cloacae #10	$\overline{+}$	$\ddot{}$	$^{+}$	$\ddot{}$	÷	$\ddot{}$	$+$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$^{+}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$^{+}$	19
Enterobacter cloacae #12	$^{+}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$^{+}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$^{+}$	19
Enterobacter cloacae #14	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$+$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$^{+}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	19
Enterobacter cloacae #16	$\ddot{}$	$\ddot{}$	$^{+}$	$\ddot{}$	÷	$\ddot{}$	$+$	÷	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$^{+}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$+$	$+$	19
Enterobacter cloacae #22	$^{+}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	÷	$\ddot{}$	$+$	÷	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$^{+}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$^{+}$	19
Enterobacter cloacae #27	$^{+}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$+$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$^{+}$	$\ddot{}$	÷	$^{+}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$^{+}$	19
Enterobacter cloacae #23	÷.	÷	÷.	÷.	٠	÷.	÷	÷	÷	$\ddot{}$	$\ddot{}$	÷	÷	÷.	$\ddot{}$	$\ddot{}$	÷	$+$	$+$	19
EBx1		$\ddot{}$	$\ddot{}$	$\ddot{}$	÷	$\ddot{}$	$+$	÷.	$\ddot{}$	$+$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$+$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$+$	$+$	18
EBx2		$\ddot{}$	$\ddot{}$	$^{+}$	÷	$\ddot{}$	$+$	$\ddot{}$	$+$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$^{+}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$+$	$+$	18
Enterobacter cloacae #26		$\ddot{}$	$\ddot{}$	$\ddot{}$	÷.	$\ddot{}$	$+$	÷.	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$+$	18
Enterobacter cloacae #30		÷	÷	÷.	÷.	÷	÷.	a.	÷.	$\ddot{}$	÷	$\ddot{}$	÷	÷.	÷	$+$	÷	$+$	$+$	18
Enterobacter cloacae #11		÷	÷.	÷	÷	$+$	$+$	÷	÷	$+$	÷	÷	÷	÷.	$\ddot{}$	$+$	÷	$+$	$+$	18
Enterobacter cloacae #6	$\ddot{}$	٠	$+$	$+$	÷.	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{+}$	$\ddot{}$	$+$	$+$	18
Enterobacter cloacae #9		÷	÷	÷	÷	÷	$+$	÷	÷	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$+$	$\ddot{}$	$+$	$\ddot{}$	$\ddot{}$	$+$	18
Enterobacter cloacae #21	÷	÷	\overline{a}	÷	٠	÷	÷	÷	÷	$\ddot{}$	$\ddot{}$	÷	÷	÷.	÷	$\ddot{}$	÷	$+$	$+$	18
Enterobacter cloacae #28	÷	٠	÷.				÷	÷	4			÷				÷	÷	$+$	÷.	10
Enterobacter cloacae #17		$\ddot{}$	\overline{a}							$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$^{+}$	$\ddot{}$			$\ddot{}$	$\ddot{}$	8
Enterobacter cloacae #20		$\overline{}$	\overline{a}		÷	$\ddot{}$	٠					\overline{a}	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$\ddot{}$	$+$	$+$	9
Enterobacter cloacae #3		$\qquad \qquad \blacksquare$	\blacksquare	$\overline{}$			٠			$\overline{}$	\overline{a}	$\qquad \qquad \blacksquare$	$\overline{}$	$\overline{}$		٠		\overline{a}	\overline{a}	0
Enterobacter cloacae #8		$\overline{}$	\blacksquare				٠			$\overline{}$		\blacksquare	\overline{a}	\blacksquare		٠		\overline{a}	\blacksquare	0
Enterobacter cloacae #13		$\overline{}$	α	\overline{a}			٠			\overline{a}		\overline{a}	\overline{a}	\sim		×,		\overline{a}	×.	0
Enterobacter cloacae #19		\overline{a}	\overline{a}	\overline{a}			٠			\overline{a}		\overline{a}				i.		\overline{a}	ä,	0
Enterobacter cloacae #24		$\overline{}$	$\overline{}$	\overline{a}			٠			$\overline{}$	$\overline{}$	$\overline{}$	$\overline{}$	\overline{a}	٠	\overline{a}		\overline{a}	\overline{a}	0
Enterobacter cloacae #25		$\overline{}$	\overline{a}	\overline{a}			L,			$\overline{}$	\overline{a}	\overline{a}	\overline{a}			L.		\overline{a}	\overline{a}	0
Enterobacter cloacae #31		×.	ä,	\overline{a}			٠			i.		×,				×,		×,	ä,	0
Staphylococcus aureus		$\overline{}$	$\overline{}$	÷,			ı.			÷,	٠	$\frac{1}{2}$	L.	$\overline{}$	\overline{a}	$\overline{}$	٠	\overline{a}	÷.	0
Total # of bacterial strains that the phages lyse	15	20	20	20	21	21	21	21	21	21	21	22	22	22	22	22	22	23	23	

Table 4. 3: Host Range Analysis of the 19 isolated bacteriophages

KEY: + phage susceptible; - phage resistant

Nineteen phages isolated were tested for host range analysis on 33 bacteria (33 *E. cloacae*) and *S. aureus* as a negative control. Twelve (63.15%) out of the nineteen (19) bacteriophages exhibited 100% lysis by spot assay to the *E. cloacae* bacterial species. There was no lysis on the negative control (*S. aureus*) by all the bacteriophages tested against it, a characteristic high specificity phenomenon exhibited by most bacteriophages.

4.7 Test for purity of phage DNA

For us to establish that host bacterial DNA was not present in our page DNA samples before DNA library preparation, the extracted DNA as well as PCR amplicons of 16S rRNA were run on 1% agarose gel. The extracted phage DNA was present and there was no band equivalent to 16S rRNA, a good indication that the phage DNA did not contain host genome. These results are as displayed in figure 4.7 below

Figure 4.7: Gel electrophoresis of bacteriophages DNA and respective amplicons of 16S rRNA gene. **A**: Wells 2, 3, 6, 7, and 8 represent phage genomic DNA, wells 4 and 5 represents the positive control (phage DNA for *K. pneumoniae*), negative control (Nuclease free water) respectively. **B**: Wells 2, 3, 4, 5 and 8 represent PCR amplicons of 16S rRNA gene. Wells 6 represents the positive control (amplified 16SrRNA gene of *K. pneumoneae* DNA) and well 7 the negative (Nuclease free water) control Molecular weight marker 1Kb; Thermo Scientific[™] GeneRuler[™] DNA Ladders, was loaded in wells 1 and 9.

4.8 Genomic characterization.

4.8.1 DNA extraction, quantification and library preparation

The extracted DNA was measured on NanoDrop 1000 (ThermoFisher Scientific, 5225 Verona Rd, Madison, WI 53711, USA) followed by Qubit Fluorometer (Quantus TM Flourometer, E6150, ProMega Corporation, Madison WI 55711-5399, USA,), the results which are displayed in table 4.4.

		Before	Qubit dsDNA			
		extraction				readings
Sample	Sample ID	OD	ng/µl	A260:280	A260:230	ng/µl
N ₀		600nm				
		PFU/ml				
	EC 50398 MR2	N/A	123.6	1.86	1.33	41.0
\mathcal{L}	EC 50398 ZM	N/A	125.5	1.82	1.20	35.0
3	BPA MR E3	N/A	217.2	1.82	1.34	92.0
$\overline{4}$	BPA MR KB	N/A	136.2	1.83	1.37	40.0

Table 4. 4: **Extracted Phage DNA quantification on both Nanodrop and Qubit platfroms.**

**A260:230 gives the levels of salt impurities in the DNA samples that is supposed to be below 1.88, an indication that the the extracted DNA was of good quality. Quibit readings indicate the quantity of DNA in the sample.

Phage DNA library preparation was done according to Nanopore sequencing guidelines and results were as displayed in table 4.5.

Table 4.5: Phage DNA library Preparation prior to sequencing. Results are recorded after each

Sampl	Sample ID	Before	\int (or 2) ug	Adjusted	After end	for Vol	Adjusted	Barcode	After	Equimolar
e No		end repair ^a	DNA ^b	to 48ul	prep cone ^c	750 ng/ul	to 22.5ul	no ^e	barcoding	pooling
		(ng/u)							conc^{t}	700 ng total ^g
	EC 50398 MR2	85.8	23.3	24.7	59.4	12.6	9.9	NB09	24.8	3.5
	EC 50398 ZM	93.6	21.4	26.6	47.6	15.8	6.7	NB10	32.4	2.7
	BPA MR E3	72.4	27.6	20.4	51.8	14.5	8.0	NB11	22.4	3.9
4	BPA MR KB	54.2	36.9	11.1	54.4	13.8	8.7	NB12	30.6	2.9

step to attain the required threshold for sequencing

4.8.2 Phage sequencing

Sequencing was done via Oxford Nanopore sequencing platform. Five phages which had stable lytic phenotypes were sequenced. A total of 349.1K of reads were generated with 140.45 Mb passed bases. Generated FASTQ files were assembled via Canu v2.2 and flye v2.9. These results are as indicated in Table 4.6

Table 4. 6: Sequencing Results

Bacteriophage DNA was isolated using Qiagen kit. Quantity and quality of the extracted DNA was measured via nanodrop and qubit respectively sequencing was done via Oxford Nanopore sequencing platform. Prepared DNA library was barcoded with NED104 loaded to a flow cell FA023769 and kit SQK-LSK109 and run over the server for 5 hours via MiniKNOW version 21.06.0 with a MiniKNOW core of 4.3.4 and a Beam of 6.2.5. Basecalling was done via Guppy 5.0.11 at High-accuracy base-calling and barcodes were trimmed on both ends. A total of 349.1K of reads were generated with passed bases at 140.45 Mb. Generated FASTQ files were assembled via Canu v2.2 and flye v2.9.

4.8.3 Sequence assembly, annotation, alignment and analysis

The genome characteristics of the three analysed bacteriophages were as follows. vB_Eclo_MII_001, vB_Eclo_MII_002 and vB_Eclo_MII_004 was composed of a double stranded DNA molecule ranging between 42 kb and 72 kb. vB_Eclo_MII_001, vB Eclo MII 002 and vB Eclo MII 004 had a genome size of 61,441 bp, 77,825bp and 38,913bp respectively. The GC content was 48.63%, 49.42% and 52.35% respectively.

vB_Eclo_MII_001 had a total of 156 CDSs with two repeat regions out of which 58 sequences code for proteins with functional assignments while 98 sequences coded for hypothetical proteins. Of the proteins with functional assignment, when the genome of this bacteriophage was annotated, it revealed CIII, CII and CoR 2 genes. Figure 4.8 is a gene representation of the bacteriophage vB_Eclo_MII_001, one of the sequenced bacteriophages with annoations showing the presence Cro, CI, CII and CIII genes that are associated with the lysogenic lifecycle of bacteriophage.

vB_Eclo_MII_002 had 422 CDSs, 15 repeat regions and 3 tRNAs, out of this, 216 CDSs code for proteins with functional assignments while 206 code for hypothetical proteins. Of the sequenced phages, vB_Eclo_MII_002 (Figure 4.9) had the most genes that mapped to sequenced phages in databases. It contained genes encoding proteins responsible for the phage lysis system: endolysin, holin, antiholin, and spanin. (Jiangtao Zhao, 2019). Among the phage structural proteins revealed in the sequenced phage genomes were terminase large subunit, major capsid, baseplate, and tail fiber proteins. (Li *et al*., 2016) vB_Eclo_MII_004 on the other hand had 97CDSs with 2 repeat regions. Out of this 65 CDSs codes for proteins with functional assignments while 32 code for hypothetical proteins.

Figure 4.8: Circular Genome View for vB_Eclo_MII_001. The outermost ring represents the contig of the phages. The adjacent three rings represent the CDSs of the linear vB Eclo MII 001 genome: Green = positive strand, Purple = Negative strand, Light blue= repeat regions. Orange ring represents tRNA; the inner ring; Light purple represents the GC content while the innermost ring; Brown represents the GC Skew.

Figure 4.9: Circular Genome View for vB_Eclo_MII_002. The outermost ring represents the contig of the phages. The adjacent three rings represented the CDSs of the linear vB Eclo MII 003 genome: Green = positive strand, Purple = Negative strand, Light blue= repeat regions. The inner ring; Light purple represents the GC content while the innermost ring; Brown represents the GC Skew.

4.8.4 Alignment of bacteriophage sequences.

The sequenced bacteriophages were analysed against those sequences downloaded from databases to find areas of similarity that may be the result of functional, structural, or evolutionary links between the sequences. Rows of a matrix were used to represent aligned sequences of nucleotide. Gaps are placed between the residues to align identical or similar characters in succeeding columns. A multiple sequence alignment of the bacteriophage sequences for the sequenced bacteriophages (Figure 4.10).

NCBI Multiple Sequence Alignment Viewer, Version 1.20.1													
Sequence ID	Start	Alignment										End	Organism
		49.143	49.150		49.170	49,180	49.190	49.200	49.210	49.220	49.228		
		$YTAAA$		 1999 1999 1999 1999 1999 1999 1999 1999 1999 1999 1999 1999 1999 1999 1999 19 CTATCACTATAGGAACAMCRMRAST - - - - - - - - - - - - - - - - - - NTA - S GY NARY MR WNAS WS Y S RINY R N - - MS N N 130.356									
consensus NC 007636.1	$(+)$ $(+)$	$C \cdot \cdot \cdot \cdot$			$A \cdot A CA \cdot C$		TTGAGTGAG TGAACGCAT A CC GCCGTA			C G G G			A G G G 39,699 Escherichia phage K1F
DQ111067.1	$\mathbf{1}$ $(+)$	C α , α , α , α			ACA .	C T T G A G T G A G -		GAACGCAT A	CC GCCGTA	CGGG			A G G G 39,704 Escherichia phage K1F
MN510331.1	(4)	C $\sim 10^{-1}$			ACA	\cdot C	A A C T C	G	$TG-GTCGTA$	CTAG			A C G G 39,704 Escherichia phage vB_E.
LT594300.1	$(+)$	C			AC		AACTC	G	T G $-$ GT C $=$ $- A$	CTAG			A C G- 38,979 Escherichia phage LM33.
NC 048023.	$(+)$	C \sim 100 \pm			A C	- C AAGT	CAACTC		$G \cdot T G \cdot G T C$ $-A$	CTAG			A C G- 39.747 Escherichia virus Vec13
MK903279.1	$\mathbf{1}$ $(+)$	C Contract			GAA	G AAGAGC	TAAG : G A C C A	THET.		GCCGTT GTTACAC			A C T G 39,233 Escherichia phage Peac.
EU734172.1	$(+)$	C Carl Carl			GAA	G LA GA GC GA C T A A G	C G A C G A	$G \cdot G$ T \cdot		GCCGTT GTCCCAC			A C T G 39,252 Escherichia phage EcoD.
NC 047927.1	$(+)$	C			ACACC		AGAAAGCA G G G T G A G		AACC GCCGTA	C T G G			C G G G 38,823 Escherichia phage YZ1
NC 047777.1	$(+)$	C			TAGC		AAGATTCAC AAAGCAT		G CCCGGGTGAG	CTCC			C G G T 40,291 Escherichia phage ZG49
MT682707.1	$(+)$	C			ACA	\cdot C \cdot T $=$ GAGT	GAGTGAA C GC TGC GCCGTA			TGGG			A G G G 39,305 Escherichia phage vB E.
NC 047829.	$(+)$	C $\epsilon \rightarrow \epsilon$			C	GAA G <mark>AAGA GC GA C T A A G = C C G A C C</mark> A + +		\blacksquare G $T =$		GCCGTT GTCCCAC			A C T G 39,693 Escherichia phage ST31
MT808983.1	$(+)$	C C			G	C GAA G AAGAGCGACTAAG CCGACCA F		G I G	TC <mark>T</mark> ACAATT <mark>T</mark> GT- T- GCCGTT GTCCCAC	A G			GT TT 39,817 Escherichia phage DY1
MK610268.1 KJ748011.1	$(+)$ $\mathbf{1}$	Carlos C				GGG CACACATTCACCGAG AGTGTGCC			TGGGCTACCTACTCTG				A C T G 40,286 Hafnia phage vB HpaA CCC 39,093 Escherichia phage PE3-1
KC109329.1	$(+)$	C α , α , α			GAA	G AAGAGCGACTAAG CCGACCA		\cdot \cdot \blacksquare G		GCCGTT GTTCCAC			A C T G 40,218 Escherichia phage CLB
MT350292.1	$(+)$ $(+)$	C Contract			ACA	$C +$	TGAGTGAG TGAACGC — T		<mark>I</mark> G CC GCCGTA	T G G G			A G G G 40,712 Enterococcus phage EF
MK903281.1	$(+)$	C			GTC			T GL		TCTACA TTTGTAG			GT TT 39,263 Escherichia phage Pens.
NC 047808.	1 $(+)$	C $\sim 10^{-1}$			ACA	$G A G T G A$ G $C \cdot$		TGAACGC TA CC GCCGTA		C G G G			A G G G 39,300 Escherichia phage vB_E.
MZ398247.1	$(+)$	C			GGG	CACACATTCACCG		C G A G T G G C C A C T <mark>G G G</mark> A G C T		CCA			39,224 Enterobacteria phage IM.
KU687349.1	$(+)$	C α . α .			AG	GC CACT CAAAGT G G G A C T T A C T G				CC CA A CAAT G CC GT GGGT A TT GAGCC 39,444 Citrobacter phage SH3			
HG818823.1	$(+)$	C			GAA C.	G	A G A G C G A C T A A G C C G A C C A	G G т.	GCCGTT	GTCCAAC			A C T G 39,207 Citrobacter phage CR44b
MN715150.1	$(+)$	C			CTGAA	G		\blacksquare G $T = \cdot$		GCCGTT GTCCCAC			A C T G 38,900 Citrobacter phage NS1
MN518893.1	$(+)$												40,230 Escherichia virus LS3
KT990215.1	$(+)$	C				C GAA G AAGAGCGACTAAG CCGACCA				TG T- GCCGTT GTCCCAC-	A C T G 40,470		Escherichia phage GA2A
MN518894.1	$(+)$	С			A ACC	GTAAGTCCAACTC		G					AGTAAACTAGAC 39,554 Escherichia virus LS2
MN218775.1	$(+)$	C			ACC		GTAAGTCCAACTC GG						AGTAAACTAGET AGAC 40,792 Escherichia virus ECG4
MN414250.1 KU687350.1	$\mathbf{1}$ $(+)$	C e a car T			A G \cdot GIG _G					G <mark>C C A C T C A A G G T G G G A C T T A C T</mark> G G C A C A C A A T G G C T G G G T A T T G A G C C 39,508 Escherichia phage RDN8 CACACATTC CCGCGAGTGT			39,274 Citrobacter phage SH4
KU687351.1	$(+)$ $(+)$	T e a c			$-$ G G G					CACACATTC CCGCGAGTGT			39,832 Citrobacter phage SH5
HG813241.1	$(+)$	T e a c			G/G					CACACATTC CCGCGAGTGT			38,966 Cronobacter phage Dev2
MW748991.1	$\mathbf{1}$ $(+)$	C				TAG GCCACTCAAGGTGGGACTTACTG GC CA ACAATG				← C <mark>C</mark> G T G G G T A T <mark>T G</mark> A G C C 40,164 Enterobacter phage IME			
MZ223858.1	$(+)$	T			TAG					GC CACT CAAGGT GGGACTTACT G GC CA ACAAT G CC GT GGGT ATT GAGCC 39.701 Enterobacter phage EV1.			
NC_048136.	$(+)$	C. \cdots			TAGAC					AGATTC CAAAGCAT			39,793 Escherichia phage Ro45lv
BK024387.1	$(+)$	T $\epsilon = \epsilon - \epsilon$			$A \cdot G$					- T <mark>CTGGTC</mark> T CAATTTGTAG GTTTC 39,188 Caudovirales sp.			
BK032147.1	$(+)$	C $\alpha = \alpha - \alpha$.							ϵ	AACTTGAGTGAGTGATGA 40,282 Bacteriophage sp.			
NC 048071.1	$(+)$	$T \cdot \cdot \cdot$											40,060 Escherichia phage IMM-
MK903277.1	$(+)$	T \sim										39.497	Escherichia phage Pisces
MN067430.1	$(+)$	T			TAG				ACA	A G A T <mark>T C</mark> A C G A <mark>A A G</mark> C A T 39,389 Escherichia phage vB E			
9vB Eclo MII03 (+)		T			A G					ACAGAGTAAGACTTCGGGACAAT 39.422			
10 vB Eclo MII04+)		T $\alpha = \alpha$.			A · G					ACAG TAAGACTTCGGGACAAT 39.435			
5vB_Eclo_MII02 (+)		T			A GAG GAGT $A \cdot AC$					AAGACTTCGG <mark>GACA</mark> AT78.696			
NC 048161.1 BK024141.1	$(+)$									TCCAACTCT GGTGAGTCAACTAGAC 39,695 Cronobacter phage GW1			12,922 Caudovirales sp
MW345254.1	$(+)$ $\mathbf{1}$ $(+)$	T.			C G A A								G T A G G A G A C T A 39,460 Cronobacter phage vB
NC 048135.1	$(+)$ 1.	T			A G					GATG A A GCGGT GT A GCACCT 39,767 Enterobacter phage Ecp.			
MW258709.1	$(+)$				A					= <mark>G</mark> G <mark>C</mark> G · <mark>A</mark> A G T G G T <mark>G T</mark> A <mark>G C</mark> A C C C = 41,374 Kosakonia phage Kc166A			
KX689784.2	$(+)$				GTGAAGI					GTTGACTGAGTGGATAGGTCGTA 39,024 Escherichia phage JSS1			
MT496969.1	$(+)$				GAA	G				GTTGACTGAGTGGATAGGTCGTA 40,313 Escherichia phage Mt1B.			
MW876471.1	$(+)$	$C C$.		in a	GAA	G				GTTGACTGAGTGGATAG <mark>GT</mark> CGTA 38.954			Escherichia phage P762
MT862763.1	$(+)$	C C .			GAAGG					GT G G A CT G A G T G G A C A G G T C A T A 40,294			Escherichia phage vB E.
MW416012.1	$(+)$	c _c			I GAA	\cdot G				GTTGACTGAGTGGACAG <mark>GT</mark> CGTA 39,619 Salmonella phage vB Se			
NC 048025.	$(+)$	c c \cdot			GTGAATG					GT C G A CT G A G T G C T G A G G T C A T A 40,387 Shigella phage SFPH2			
MN855907.1	(4)	CO - I			C G T G A A G G					GTGGACTGAGTGCTTAGGTCGTA 6.152 Bacteriophage sp.			
5vB_Eclo_MII02.1(+)	1	TA			CTT TTA					CTGGCTCAATTGC 28.251			
4vB_Eclo_MII01	(4)	T.			GAT		<u> SA CA A T T T C T A T T T T A GA A G</u> G <mark>A C A T A G</mark> A + A T <mark>G C C</mark> T + C T <mark>A A</mark> GA A -				G C A A C 62,004		

Figure 4.10: Multiple Sequence alignment of the isolated bacteriophages with related sequences from National Center for Biotechnology Information (NCBI) database. The colouring scheme shows bases in regions that are conserved. Gray areas show conserved areas within the genomes of the bacteriophages while the red coloured areas are non-conserved areas of the bacteriophages.

One typical application of open reading frames (ORFs) is as evidence to aid in gene prediction. Long ORFs are frequently employed, in conjunction with other data, to identify possible protein-coding or functional RNA-coding sections in a DNA sequence. Here, ATG was set as the start codon and possible ORF calculated at an interval of between 1 and 50000 nucleotide sequences. The results were displayed, with the longest ORF highlighted.

4.9 Phylogenetic Analysis

To study the evolutionary relationship of the isolated bacteriophages, their genomes were compared with previously sequenced phages deposited in GenBank. Multiple alignment (Fig 4.12) of these phages with those in database clearly indicated that these phages align well with other phages in this family thus should be treated as members of the family Autographiviridea/ Studiervirinae. (Hongyu Ren1, 2020)Classification of one of the bacteriophages

Pct	Frags	in	Frags in	Rank	NCBI Taxon	Scientific Name
Coverage	Clade		Taxon		ID	
100.00			θ	R		
100.00			θ	D	10239	Viruses
100.00			θ	D ₁	2731341	Duplodnaviria
100.00	1		θ	D2	2731360	Heunggongvirae
100.00			$\boldsymbol{0}$	P	2731618	Uroviricota
100.00			$\overline{0}$	\mathcal{C}	2731619	Caudoviricetes
100.00			θ	O	28883	Caudovirales
100.00	1		θ	F	2731643	Autograviridea
100.00			θ	F1	2731653	Studiervirinae
100.00			θ	G	2732686	Kayfunavirus
100.00			θ	S	2733639	Escherichia virus IMM002
100.00				S ₁	2041760	Escherichia phage IMM-002

Table 4.7: Classification of one of the isolated phages as obtaine from PATRIC. This phage was classified as belonging to class Caudoviricetes and family autographiviridae/studiervirineaa

A phylogenetic tree based on the blast search was constructed and the topological robustness of the tree was evaluated using percentages of the posterior probabilities. Figure (4.16) shows the phylogenetic tree for sequenced bacteriophages in relation to sequences of other bacteriophages downloaded from databases.

BLASTn analysis of the five phages was performed. vB Eclo MII 001, vB Eclo MII 002, vB Eclo MII 003 and vB Eclo MII 004 genomes were highly similar to phages in the family Autographiviridea/ Studiervirinae (similarity approximately 75%).

Figure 4.11: Phylogenetic analysis of the sequenced bacteriophages in comparison to those found in databases. Notice that three bacteriophages are in one cluster/cled while two are in one cluster.
CHAPTER FIVE

5.0 DISCUSSION, CONCLUSION AND RECCOMENDATIONS 5.1 **DISCUSSION**

The rise in multidrug resistance in *Enterobacter cloacae* due to pressure exerted on antibiotics makes it increasingly difficult to treat. This study set out to identify phages against clinical isolates of MDR *E. cloacae* from Kenya with potential as alternatives to antibiotics for clinical therapy. The first objective was to identify MDR isolates for use to screen for phages from an existing repository. A panel of clinical isolates were confirmed by phenotypic and biochemical assays and finally on a Vitek® 2 platform to be *E. cloacae*.

AST results were done for all clinical isolates available. It was noted that among the clinical isolates tested, there were several MDR strains, resistant particularly to penicillins as well as to 1st, 2nd and 3rd generation cephalosporins, confirming that *E. cloacae* can be a human pathogen of concern to physicians because the remaining antibiotics are not readily available for therapy. The potential for having extensively or completely drug resistant isolates exists as has been shown in figure 2.1, with CREC in circulation in different sections of the globe (Capelo, Sahl, Uhlemann, Annavajhala, & Gomez-Simmonds, 2019). *E. cloacae* has been shown to produce chromosomally derived AmpC beta-lactamase (Rottman *et al*., 2002), as a result, they are innately resistant to aminopenicillins and 1st- and 2nd-generation cephalosporins (Tamma *et al*., 2019). ESBL-producing *Enterobacter* species on the other hand, arose as a result of the abuse of third-generation cephalosporins (Pfaller & Segreti, 2006; Rawat & Nair, 2010). Treatment options are becoming less available since ESBLproducing isolates have been shown to hydrolyze penicillin, cephalosporins, and monobactams (Gutiérrez-Gutiérrez & Rodríguez-Baño, 2019; Essack, 2000).

BPa as the most resistant isolate susceptible to only three 3 drugs; that is, Meropenem, Tigecycline and Colistin which are among the last line of drugs to be administered to patients in critical care. These drugs are not readily available or affordable in Kenyan public hospitals and some like colistin are nephrotoxic and not in common use. An infection caused by an isolate of this kind could qualify for alternate more available therapies like phage therapy.

The second objective was to screen for lytic phages against this MDR bacterial isolate Bpa. Bacteriophages from different sources that included Kenyatta National Hospital sewer line, Kariobangi waste water treatment plant, waste water from Zimmerman estate and Kibera slums, Nairobi river water with sampling at Museum hill, Chiromo and Mathare slums as well as fresh water from Lake Victoria were isolated. Both BPa as the clinical isolate as well as ATCC 50398 were used in screening of the phages by spot test from all the sources. Lytic activity was observed only against phages from Kenyatta National Hospital, Zimmerman, Kibera, Kariobangi, Mathare, and Chiromo. There was no activity against samples from Lake Victoria and Museum Hill. It was hypothesised that this is due to evolutionary dynamics between phages and their hosts. Phages are found in environment where their hosts exist. These differences could have arisen due the fact that in the envornment where there were no bacteriophages sampled, there could be variations to warrant isolation or no isolation of a phage within that locality.

Futher characterisation of the bacteriophages meant subjecting them to plaque assay so as to establish their morphology on the plates using bacterial lawns. Bacteriophages of different sizes, small, medium and large plaques were obtained, both for ATCC and the clinical isolate, BPa. Surprisingly, phages that had been obtained by spot assay from KNH, Lake Victoria and Museum Hill failed to form plaques on the bacterial lawns perhaps due to low phage titre numbers that were outgrown by the host bacteria especially after serial dilution.

The isolated phages were subjected to physical characterization, which is temperature stability as well as pH. Physiochemical properties have been known to influence the stability of bacteriophages both in terms of survival and persistence. For therapeutic applications in the developing worlds, phage preparations should be stable at room temperature to minimize transportation logistics and be stable at wide range of pHs to account for minor variations in preparations.

The isolated phages were subjected to temperature and pH variations to assess not only their therapeutic potential but to simulate their survival in storage conditions. Temperature has been shown to play a pivotal role in phage attachment to host bacterial cell, genetic material injection into the host, the length of the latent period especially for lysogenic phages and eventual phage multiplication. Fewer phages can participate in the proliferation phase because less bacteriophage genetic material enters bacterial host cells at lower temperatures. Higher temperatures might cause the dormant stage to last longer. Temperature also has an impact on bacteriophage abundance, survivability, and storage (Jończyk, Kłak, Międzybrodzki, & Górski, 2011).

Temperature has been demonstrated to be important in phage attachment to host bacterial cell, genetic material injection into the host and eventual phage multiplication. Of the physical parameters assayed, temperature stability of the vB_Eclo_MII_001 remained stable between $4 \,^{\circ}\text{C} - 37 \,^{\circ}\text{C}$. Infectivity of the phages on the other hand was observed to decrease to nearly half the titres at temperatures 60° C, with infectivity decreasing to almost zero at temperatures $90 °C$ (Figure 4.6). Similarly, the phages viability remained stable at pH ranges of 4.5 - 11.5 with the ideal pH being 7.5.

At pH lower than 4.5 and greater than 11.5, the infectivity rates of the phages dropped to nearly zero, an indication that the phages titres decreased in extreme pH levels. These results were similar to those reported by (Zhao *et al*., 2019; Melo *et al*., 2019). The ability of bacteriophages to survive in extreme levels of pH has been linked to their ability to acquire nonreversable mutations when incubated at those pH levels. In a survey set out to find the linear relationship between phage mutations and low pH incubatuion periods conducted by Strack *et al*, it was shown that phages can acquire mutations to survive in acidic environments.

In this study however, the isolated phages did not survive in pH lower than 4.5. Likewise, our phages were stable at alkaline pH, showing no loss of viability at 7.5, 9.0 and 11.5. At pH 13.5, the viability of these phages reduced drastically to nearly zero, with few phages surviving at this pH. The reduction in phage titers might be associated with the dissociation of the capsid protein in high quantities of hydrogen and hydroxyl ions in the solution (Feng *et al*., 2003). The capacity of the isolated bacteriophages to survive under severe pH levels is extremely useful in a variety of applications in animal and food industries. Oral phage formulations need to survive the GIT low pH of between 1-5. Phages can also be employed as biocontrol in acidic foods like fruit juices and fermented foods like pickles (Raya *et al*., 2006).

High temperatures have been proven to inactivate phages because nucleic acids, both DNA and RNA, and proteins are denatured. Yamaki *et al*, were able to show that Myoviridea phages decreased in activity after 60 minutes of incubation at 60 °C. In this investigation, it was found out that there was significant loss in activity detected at 60 °C for 60 minutes to nearly half, with no activity detected at 90° C. Additionally, phages respond to effects of temperatures differently, with some phages being susceptible to high temperatures while others tend to tolerate. Development of heat resistance can be attributed to mutations or strong protein interactions by the phages which can explain the survival of one the isolated phages at 90° C albeit at low titres (Figure 4.5B).

Some phages may be preserved in solution or dry form for lengthy periods of time under neutral pH (6 to 8). (Jonczyk et al., 2011). In general, bacteriophage titers fall gradually with pH. For example, when pH was dropped from 6.19 to 5.38 between 4 and 6 h, *S. aureus* phage titer reduced by 2 log (Garcia et al., 2009). When the pH falls below 4.5, the multiplication of numerous phages is controlled, but the danger of harmful bacteria food contamination is also lowered. T4 phage of Myoviridae family, for example, is unstable at $pH \le 5$. After 1 hour at $pH 5.0$ and 37° C, Phage PM2 (Corticoviridae family) loses all activity.

In the case of phage oral injection, however, stomach acid can have a deleterious influence on phage survival, potentially leading to treatment failure (Watanabe et al., 2007). These results appeared similar to those observed by (Luís D. R. Melo, 2019) in which comparison of two bacteriophages belonging to *Siphoviridea* and *Podoviridea* revealed a high tolerance of the phages to both temperature and pH ranges. There was no significant loss of phage viability of the phages isolated in the study at 4 $\rm{°C}$, 25 $\rm{°C}$ and 37 $\rm{°C}$, even for longer storage at 4 °C. Survival of these phages under different temperature conditions

indicates their long shelf life and broad storage conditions (Warren & Hatch, 1969) ideal for the local setting. It was thus found that not only are these phages thermostable at tempearures between 4-37 °C, they were also stable at pH $7.5 - 11.5$.

Bacteriophage host specificity is an important factor to consider while developing a bacteriophage application. As previously mentioned, the greater the breadth of the target pathogen species' host range (how many diverse strains of a species are infected), the more probable it is that a specific phage will be used for any individual infection by that target pathogen. As previously stated, host range is identical with productive host range as described by (Hyman & Abedon, 2010), i.e, bacteria capable of supporting phage infections that create new phage virions. The phages in this investigation were shown to have a confined host range, infecting solely *E. cloacae* strains, with the negative control of *S. aureus* not lysed, results that coincides with recent phage research that had shown that phages had high selectivity for the cell surface receptors presented by their hosts (De Melo *et al*., 2019). A restricted host-specific bacteriophage appeared to be an appealing property, especially when used in the GIT system to target certain host bacterial cells since bacteriophage's narrow host range might not harm many endogenous microbes (Viazis et al., 2011; Drulis-Kawa et al., 2012).

A phage should not infect other taxa, primarily for two reasons, it may lyse nonpathogenic bacterial cells in the natural flora and it may reduce the phage's optimum dose toward the targeted bacterium, albeit the problem becomes complicated if the infections are productive. Not all the 19 phages isolated could lyse all the clinical isolates subjected them to, partly because of the limited resources and because phages evolve with bacteria in the same environment and thus, as bacteria evolve to gain resistance to phages within that environment, phages too evolve to counter-attack bacterial cell. Thus, there needs to be a wide scope to screen phages for all clinical bacterial isolates. Furthermore, multiple kinds of restricted host range bacteriophages can be mixed in a cocktail composition to inhibit harmful bacteria *in vitro* more effectively (Tanji et al., 2004; Mapes et al., 2016; Bai et al., 2019).

While it is frequently assumed that host range is driven by the presence of the proper receptor on the target bacterium, other restrictions include microbial anti-phage defences mechanisms like CRISPR/Cas systems, restriction enzymes, as well as toxin-antitoxin processes (Labrie *et al*., 2010; Hyman & Abedon, 2010). Because phages have countering mechanisms, host range is not a static feature but rather a dynamic one that is capable of changing over duration of time (Laanto *et al*., 2017; Buckling & Brockhurst, 2012).

Althogh most phages lysed a majority of the clinical isolates, some microbial isolates were not lysed by any phages indicating that more phages would have to be screened for to cover all endemic *E. cloacae* strains. The study postulates that these bacterial cells that could not be lysed by any of the phages isolated have become resistant to the phages. Bacterial defense mechanisms evolve phage resistance under phage selection pressure (Rohde et al., 2018). Phages, on the other hand, evolve counteradaptations against bacterial antiphage processes in this scenario. As a result, phages and bacteria can coevolve indefinitely through phage infection and antiphage defensive mechanisms (Hall, Scanlan, Morgan, & Buckling, 2011).

Bacterial phage resistance strategies include both non-specific as well as specific adaptation mechanisms (Rohde et al., 2018). Non-specific bacterial defense systems also known as innate immune systems that can counteract phage infection include attachmentinhibition by the phage , phage genome entry prevention, secondary phage infection restriction; (also refered to as superinfection exclusion), endonucleases and methyltransferases activation (also called restriction-modification system), and suicide induction in infected cells (also called abortive infection system)(Rohde et al., 2018).

Phage-specific bacterial defense mechanisms (adaptive immune mechanisms), like CRISPR/Cas proteins, represent a secondary antiphage defense mechanism(Goldfarb et al., 2015). Growth rate, membrane permeability, capsular polysaccharide (CPS) synthesis, phage-binding receptor, pathogenicity, and antibiotic sensitivity are all altered in phageresistant bacteria(Barrangou & Oost, 2015). Adsorption, penetration, synthesis, assembly, and release are antiphage mechanisms created in bacteria to combat phage invasion phases(Stern & Sorek, 2011). For these reasons, the study hypothesised that screening of bacteriophages from diverse environments against these phage resistant bacteria and better still, incorporating them into cocktails can help in reducing resistance.

Other antiphage defense mechanisms include: Phage binding based mechanisms in which the predominant defensive strategy is the attachment blocking mechanism that prevents infection by the phage; prevention of phage attachment and entry in which adsorption of phage is blocked by modification of surface cell receptors, extracellular polysaccharides production or synthesis of receptor-binding protein analogs that reslt in phage resistance; superinfection exclusion systems in which a prophage already intergrated in the host genome blocks the assimilation of phage DNA, otherwise referred to as superinfection immunity; inhibition of virion synthesis and assembly where the synthesised phage genome is destroyed at various levels of replication, transcription, translation and assembly by restritction-modification mechanisms (R-M) as well as CRISPR-Cas system; restriction modification mechanism that consists of restriction endonucleases (REases) as well as methyltransferases (MTases) that serve to degrade unmethylated phage genomes and methylated host bacterial DNA that serves to protect host chromosomal DNA from cleavage by REases; CRISPR-Cas systems which is an adaptive immunity with abilities to remember past infections hence serving to ddegrade the injected bacteriophage genome; arbotive infection systems in which the main metabolic functions of replication, transcription and translation in infected bacterial host cell is blocked thus protecting surrounding uninfected bacterila cells of the population from attack by the phage; toxinantitoxin systems that contains two genes, toxin gene that serves to block major metabolic cellular activities like replication, translation and cellwall reconstruction and toxindiminishing antitoxin gene that serves to neutralize the cognate toxins(Goldfarb et al., 2015)(Stern & Sorek, 2011).

The third objective was to perform genomic characterization which was the hallmark of this study. Of the 19 phages isolated, sequencing was done on the five best performing phages due to limited resources. The high titre phage suspensions gave high yield DNA

on extraction which was quantified on both Nanodrop and Qubit platforms and was found by 16srRNA PCR to have no bacterial host genome contamination.

Sequence assembly and annotation of vB Eclo MII 001 revealed CIII, CII and Cro among genes with functional assignment. These genes have been shown to have lysogeny effect on the bacteriophage. In lambdoid bacteriophage, CIII protein has been shown to be involved in lysogenization process of bacteriophage. Its function is to stabilize the CII, a transcriptional modulator, which in turn induces the transcription of the repressor (cl) and integrase genes while repressing the expression of the late genes.

Overproduction of CIII protein has also been found to cause the heat shock response, most likely by stabilizing the heat shock-specific subunit of RNA polymerase. However, CIII's method of stabilizing these proteins is not clear. The lambda (λ) clll gene has complicated translational requirements, according to research. Previously, it was discovered that the host protein RNase III is essential for effective cIIl translation (Häuser *et al*., 2012). Furthermore, genetic and biochemical evidence revealed that the area around the clII ribosome-binding site exists in two different conformations, only one of which is translated (Govind *et al*., 2009) and hypothesized that these characteristics are related to clll expression regulation at the translational level. In line with this and given the result obtained in figure 4.8, it is hypothesised that this phage is a lysogenic phage.

vB_Eclo_MII_002 had most of the genes that compared to sequenced phages in databases (Figure 4.9). It contained genes responsible for phages' lysis system consisting of four proteins: endolysin, holin, antiholin, and spanin. (Jiangtao Zhao, 2019). Endolysin acts on the bacterial peptidoglycan layer of the cell wall. Endolysin must pass from the cytoplasm to the periplasm to reach its target. Endolysin employs a variety of mechanisms to do this. The holin–endolysin cell lysis system is one of the most prevalent and wellstudied systems that utilizes holin. Holin is a tiny transmembrane protein with one to three transmembranes (Bläsi & Young, 1996; Young, 2002; Reddy & Saier, 2013; Savva *et al*., 2014). When holin oligomerizes at a precise period, it generates holes in bacteria's cytoplasmic membrane, allowing passive passage of endolysin from the cytoplasm to the

periplasm, prompting cell lysis (Park *et al*., 2006; Wang *et al*., 2008). Among the phage structural proteins revealed in the sequenced phage genomes were terminase large subunit, major capsid, baseplate, and tail fiber proteins. (Li *et al*., 2016)

vB_Eclo_MII_004, on the other hand, had 97CDSs with 2 repeat regions. Out of this 65 CDSs coded for proteins with functional assignments while 32 code for hypothetical proteins. Of the five bacteriophages sequenced, vB Eclo MII 002 1 and vB_Eclo_MII_003 were not determined in terms of family while vB_Eclo_MII_001, vB_Eclo_MII_002 and vB_Eclo_MII_004 were determined to belong to Autographiridea/Studiervirinea family.

All three bacteriophages, that is vB Eclo MII 001, vB Eclo MII 002 and vB_Eclo_MII_004, lacked considerable sequence resemblance to known antibiotic resistance, pathogenicity, or toxin proteins. TnphoA and Tn10d-*bla* transposons (Barondess & Beckwfth, 1990; Reidl & Mekalanos, 1995) are genetic tools produced to help in finding phage factors that encode for virulence. Since PhoA and Bla need to be secreted to be active, screening fusion libraries for transducible PhoA or Bla activity allows for the quick discovery of secreted, phage-encoded potential virulence factors like *E. coli's lom* and *bor* genes (Barondess & Beckwfth, 1990) and *Vibrio cholerae's* phage K139-encoded *glo* gene (Reidl & Mekalanos, 1995). It is worthy noting that phageencoded genes are not always transmissible, owing to the fact that technological limitations exist in detecting transduction or because integrated prophages frequently fail. Presently, analysis of bacterial pathogen genome sequences swiftly reveals whether virulence factors are linked to phage-like DNA sequences, irrespective of the fact that they could transmissible.

Non-transmissible (Strockbine *et al*., 1988) *stx* genes found in *S. dysenteriae*, for example resemble sequences found in lambda phage, though they are interrupted by many insertion sequences, pointing to the fact that genes encoding for toxin are located in a prophage whose sequences are faulty due to the presence of insertion sequences. Furthermore, virulence gene transduction is inadequate proof that virulence gene is located in a phage genome.

Currently, the most common direct as well as sensitive technique used in detecting if virulence genes relate to phage-like sequences is to analyze the sequences around virulence factor genes. While this method can determine if virulence genes are linked to phage sequences, it is unable to determine whether the gene belongs to the prophage transducing it or the gene is affecting its expression. (Waldor & Mekalanos, 1996)

A whole-genome comparison of the phages to those found in databases indicated that they were closely linked to Autographiviridea/Studiervirinae phages (Figure 4.14). ORFs were mostly classified as proteins associated with DNA metabolism, proteins related with cell lysis as well as structural proteins (Figures 4.12, 4.13 and 4.14).

DNA metabolism and replication accounted for a significant fraction of the putative proteins. The phage genomes also contained seven proteins that comprise the primary replisome that functions as a biological motor capable of driving the replicating fork across templates at speed similar to those found in vivo (Miller *et al*., 2003).

BLASTn analysis of the phages vB Eclo MII_001, vB Eclo_MII_002, vB Eclo MII 003 and vB Eclo MII 004 genome with other sequences in databases using Multiple Sequence Alignment (Fig 4.11) revealed that these phages were closely related to phages of *E. coli*. The gray areas were indicative of the conserved sequences of the phages while the coloured areas were the non-conserved areas (Jankun-Kelly *et al*., 2009). Further analysis of these phages indicated that they were highly similar to phages in the family Autographiviridea/Studiervirinae with a similarity of approximately 75% (Hongyu Ren1, 2020).

Of the five bacteriophages, sequences of vB Eclo MII 002 1 and vB Eclo MII 003 did not give a clear family classification. The study hypothesised that these bacteriophages were prophages containing sections of host genome in their bacteriophage DNA.

5.2 Conclusions and Recommendation

The spread of antibiotic resistant MDR *E. cloacae* bacterial pathogens poses a serious threat to clinicians due to scarcity of accessible treatment options. ESBL and its rising relationship with MDR phenotype in Enterobacteriaceae, including *E. cloacae*, is becoming a significant therapeutic problem. *E. cloacae*, as a significant opportunistic microbe, is capable of causing nosocomial epidemics and invasive infections such as septicaemia, bacteraemia, lower respiratory tract infections, skin and soft tissue infections, UTIs, endocarditis and intraabdominal infections (Kuai *et al*., 2014).

This research, has described lytic bacteriophages with lytic activity against *E. cloacae*. The isolated phages are widely distributed within the environment, with rich sources of isolation being sewage water. The isolated phages had a wide host range to *E. cloacae* bacterial isolate with no lysis experience outside the host species, a clear indication of phage specificity. Thermal and pH stability testing results add to our understanding of these unique viruses. These characteristics, combined with host specificity, close genetic relatedness to the purely lytic genus Autographiviridea/ Studiervirinae phages, and the lack of associated genes involved in lysogeny, make the isolated phages attractive candidates for possible therapeutic uses such as decontamination or treatment of MDR bacteria. Phylogenetic analysis using previously validated markers (Ackermann *et al*., 2011; Cheepudom *et al*., 2015) revealed that it belongs to the unique genus Autographiviridea/ Studiervirinae.

The sequenced bacteriophages had no AMR genes as well as virulence genes. Phage therapy has been fronted as the next frontier in dealing with MDR bacteria. These findings reveal that vB Eclo MII 002 and vB Eclo MII 004 are good candidates for phage therapy.

5.3 Recommendations

- 1. A large proportion of the predicted genes were unknown or 'hypothetical' and therefore require characterization in order to determine their gene functions which in turn will not only help in understanding of bacteriophage biology but also add value to the virome data.
- 2. It's also a recommendation of this study that the isolated lytic phages be tested in animal models to ascertain the efficacy *in vivo.*
- 3. It is also this study's recommendation that additional screening be done to cover all endemic strains

5.4 Limitations of the Study

- 1. This study proposes to isolate phages from various different environments which are fresh water lake and sewage. This is a limitation as bacteriophages from these environments are not exhaustive of the natural diversity of bacteriophages.
- 2. The study also incorporates only a few *E. cloacae* MDR isolates due to the limited number in the parent study at the time. This will be mitigated by expanded research in the parent protocol.

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APPENDICES

APPENDIX 1: Annotated Genome features of bacteriophage vB_Eclo_MII_001

APPENDIX 2: Annotated Genome features of bacteriophage vB_Eclo_MII_002

Genome	Feature Type			Start End Length Strand		Cross-genus families (PGfams)	AA Length	Product
vB_Eclo_MII_003	CDS		10033 10194	162	$\! + \!\!\!\!$		53	N-acetylmuramoyl- Phage lysin, Lalanine amidase (EC 3.5.1.28)
vB Eclo MII 003	CDS		10397 10609	213		PGF 05437948	70	Phage protein
vB_Eclo_MII_003	CDS		10680 11231	552	$\! + \!\!\!\!$	PGF 00421723	183	Phage primase/helicase protein Gp4A
vB_Eclo_MII_003	CDS		11296 11667	372	$\begin{array}{c} + \end{array}$		123	T7-like phage primase/helicase protein
vB Eclo MII 003	CDS	1136	1324	189	$\qquad \qquad +$		62	hypothetical protein
vB_Eclo_MII_003	CDS		11697 12587	891	$\! + \!\!\!\!$	PGF 00421723	296	Phage primase/helicase protein Gp4A
vB_Eclo_MII_003	CDS		12659 13594	936	$\begin{array}{c} + \end{array}$	PGF_08740592	311	DNA-directed Phage DNA polymerase (EC 2.7.7.7)
vB_Eclo_MII_003	CDS		13630 13947	318	$^{+}$		105	T7-like phage DNA Polymerase (EC 2.7.7.7)
vB Eclo MII 003	CDS		14101 14916	816	$\begin{array}{c} + \end{array}$	PGF_08740592	271	DNA-directed DNA Phage polymerase (EC 2.7.7.7)
vB Eclo MII 003	CDS	1430	1858	429	$\begin{array}{c} + \end{array}$	PGF 06014785	142	hypothetical protein
vB Eclo MII 003	CDS		14879 14998	120	$^+$		39	hypothetical protein
vB_Eclo_MII_003	CDS		14998 15087	90	$\begin{array}{c} + \end{array}$		29	hypothetical protein
vB_Eclo_MII_003	CDS		15257 15490	234	$\qquad \qquad +$	PGF 04070011	77	Phage protein Gp5.7
vB Eclo MII 003	CDS	15487	15705	219	$\qquad \qquad +$		72	Phage protein
vB Eclo MII 003 vB Eclo MII 003	CDS		15705 15794	90	$^+$		29	hypothetical protein
vB_Eclo_MII_003	CDS CDS		15844 16569 16551 16640	726 90	$\! + \!\!\!\!$	PGF 00031762	241 29	Phage exonuclease (EC 3.1.11.3) hypothetical protein
vB Eclo MII 003	CDS		16773 17021	249	$\qquad \qquad +$		82	hypothetical protein
vB Eclo MII 003	CDS		17055 17276	222	$^{\mathrm{+}}$	PGF 05140785	73	Phage protein (ACLAME 1292)
vB_Eclo_MII_003	CDS		17279 17581	303	$\! + \!\!\!\!$		100	Phage protein
vB Eclo MII 003	CDS		17674 17931	258	$\! + \!\!\!\!$		85	Phage host specificity protein (ACLAME 1293)
vB Eclo MII 003	CDS		17935 18624	690	$\! + \!\!\!\!$	PGF_08466151	229	Phage collar, head-to-tail connector protein Gp8
vB_Eclo_MII_003	CDS	182	307	126	$\! + \!\!\!\!$		41	hypothetical protein
vB_Eclo_MII_003	CDS	1852	2001	150	$\! + \!\!\!\!$		49	hypothetical protein
vB_Eclo_MII_003	CDS		18684 18914	231	$\qquad \qquad +$	PGF_08466151	76	Phage collar, head-to-tail connector protein Gp8
vB Eclo MII 003	CDS	19044	19133	90	$\begin{array}{c} + \end{array}$		29	hypothetical protein
vB Eclo MII 003	CDS		19103 19498	396	$^{+}$	PGF_08466151	131	Phage collar, head-to-tail connector protein Gp8
vB Eclo MII 003	CDS		19605 20399	795	$^+$	PGF 12668281	264	Phage capsid and scaffold
vB Eclo MII 003	CDS	2004	2129	126	$^+$		41	hypothetical protein
vB Eclo MII 003	CDS		20396 20485	90	$\qquad \qquad +$		29	hypothetical protein
vB_Eclo_MII_003	$\mathop{\rm CDS}\nolimits$		20611 21660	1050	$^{+}$	PGF_08799475	349	Phage major capsid protein Gp10A
vB Eclo MII 003	CDS		21715 21870	156	$\! + \!\!\!\!$		51	hypothetical protein
vB_Eclo_MII_003	CDS		22032 22544	513	$\! + \!\!\!\!$	PGF 04874775	170	Phage tail fiber protein / T7-like tail tubular protein A
vB_Eclo_MII_003	CDS		22544 22771	228	$^+$		75	Phage protein
vB_Eclo_MII_003	CDS		22783 22956	174	$\! + \!\!\!\!$	PGF 07801391	57	Phage non-contractile tail tubular protein Gp12
vB_Eclo_MII_003	CDS	2302	2499	198	$\! + \!\!\!\!$		65	Phage protein
vB_Eclo_MII_003	CDS		23036 23131	96	$\qquad \qquad +$		31	hypothetical protein
vB Eclo MII 003	CDS		23161 23901	741	$\! + \!\!\!\!$	PGF_07801391	246	Phage non-contractile tail tubular protein Gp12

APPENDIX 3: Annotated Genome features of bacteriophage vB_Eclo_MII_003

Genome					Feature Start End Length Strand Cross-genus	AA	Product
	Type				families (PGfams) Length		
vB_Eclo_MII_004 CDS			10052 10183	$132 +$		43	Phage lysin, N-acetylmuramoyl-L-alanine
							amidase (EC 3.5.1.28)
vB Eclo MII 004 CDS			10249 10404	$156 +$	PGF 06446683		51 Phage endolysin
vB Eclo MII	004 CDS		10419 10613	$195 +$			64 hypothetical protein
vB_Eclo_MII_004 CDS			10707 10823	$117 +$			38 hypothetical protein
vB Eclo MII 004 CDS			10804 11637	$834 +$	PGF 00421723		277 Phage primase/helicase protein Gp4A
vB Eclo MII 004 CDS		1157	1345	$189 +$			62 hypothetical protein
vB_Eclo_MII_004 CDS			11580 12044	$465 +$			154 T7-like phage primase/helicase protein
vB Eclo MII 004 CDS			12128 12460	$333 +$			110 Phage protein
vB_Eclo_MII_004 CDS			12444 12608	$165 +$			54 Phage protein
vB Eclo MII 004 CDS			12680 12937	$258 +$			85 T7-like phage DNA Polymerase
							(EC 2.7.7.7)
vB_Eclo_MII_004 CDS		12982	13077	$96 +$			31 hypothetical protein
vB_Eclo_MII_004 CDS			13074 13409	$336 +$	PGF 08740592		111 Phage DNA-directed DNA polymerase
							(EC 2.7.7.7)
vB_Eclo_MII_004 CDS			13390 13686	$297 +$			98 T7-like phage DNA Polymerase
							(EC 2.7.7.7)
vB Eclo MII 004 CDS		1356	1502	$147 +$			48 hypothetical protein
vB_Eclo_MII_004 CDS			13649 13966	$318 +$			105 T7-like phage DNA
							Polymerase (EC 2.7.7.7)
vB Eclo MII 004 CDS			14083 14184	$102 +$			33 hypothetical protein
vB_Eclo_MII_004 CDS			14198 14893	$696 +$	PGF_08740592		231 Phage DNA-directed DNA polymerase
							(EC 2.7.7.7)
vB_Eclo_MII_004 CDS			14899 15018	$120 +$			39 hypothetical protein
vB Eclo MII 004 CDS		1499	1879	$381 +$	PGF 06014785		126 hypothetical protein
vB Eclo MII 004 CDS			14996 15106	$111 +$			36 hypothetical protein
vB Eclo MII 004 CDS			15301 15417	$117 +$			38 hypothetical protein
vB Eclo MII 004 CDS			15506 15724	$219 +$			72 Phage protein
vB Eclo MII 004 CDS			15780 16373	$594 +$	PGF_00031762		197 Phage exonuclease (EC 3.1.11.3)
vB Eclo MII 004 CDS			16454 16588	$135 +$	PGF 00031762		44 Phage exonuclease (EC 3.1.11.3)
vB Eclo MII 004 CDS			16570 16659	$90 +$			29 hypothetical protein
vB_Eclo_MII	004 CDS		16794 17063	$270 +$			89 hypothetical protein
vB Eclo MII 004 CDS		170	328	$159 +$			52 hypothetical protein
vB_Eclo_MII_004 CDS			17074 17217	$144 +$	PGF_05140785		47 Phage protein (ACLAME 1292)
vB_Eclo_MII_004 CDS			17265 17369	$105 +$			34 hypothetical protein
vB Eclo MII 004 CDS			17332 17457	$126 +$			41 Phage protein
vB_Eclo_MII_004 CDS			17450 17596	$147 +$			48 hypothetical protein
vB_Eclo_MII_004 CDS			17689 17940	$252 +$			83 Phage host specificity protein (ACLAME
							1293)
vB_Eclo_MII_004 CDS			17952 18131	$180 +$	PGF_08466151		59 Phage collar, head-to-tail connector protein
							Gp8
vB_Eclo_MII_004 CDS			18167 18640	$474 +$	PGF_08466151		157 Phage collar, head-to-tail connector protein
							Gp8
vB Eclo MII 004 CDS			18700 18972	$273 +$	PGF_08466151		90 Phage collar, head-to-tail connector protein
							Gp8
vB_Eclo_MII_004 CDS		1873 2022		$150 +$			49 hypothetical protein
vB_Eclo_MII_004 CDS			18965 19078	$114 +$			37 Phage portal (connector) protein (T7-like
							gp8)
vB_Eclo_MII_004 CDS			19104 19199	$96 +$			31 hypothetical protein
vB_Eclo_MII_004 CDS			19274 19561	$288 +$			95 Phage portal (connector) protein (T7-like
							gp8)
vB_Eclo_MII_004 CDS			19639 19737	$99 +$			32 hypothetical protein
vB_Eclo_MII_004 CDS			19737 19919	$183 +$			60 hypothetical protein
vB Eclo MII 004 CDS			19960 20502	$543 +$	PGF_04925984		180 Phage capsid and scaffold

APPENDIX 4: Annotated Genome features of bacteriophage vB_Eclo_MII_004

